



WELCOME



Harbor Developmental Disabilities Foundation

September 20, 2022



SEPTEMBER MEETING OF THE BOARD OF TRUSTEES

TUESDAY, September 20, 2022 @ 6:30 p.m.
Via ZOOM Webinar

https://us06web.zoom.us/webinar/register/WN_3bpOG8xySSKvrZgcTB_dEQ

A G E N D A

- 1. CALL TO ORDER & INTRODUCTIONS.....CHRISTOPHER PATAY, President
2. MINUTES OF THE JULY 19, 2022 MEETING.....DAVID GAUTHIER, Secretary
3. TREASURER'S REPORT.....DR. JAMES FLORES, Treasurer
4. EXECUTIVE REPORT*.....PATRICK RUPPE, Executive Director
5. COMMITTEE REPORTS:
a) ARCA.....JOE CZARSKE, LIAISON
b) AUDIT.....JOE CZARSKE, CHAIRPERSON
c) BOARD DEVELOPMENTJOE CZARSKE, CHAIRPERSON
d) BOARD PLANNING*KIM VUONG, CHAIRPERSON
e) CLIENT ADVISORY.....DAVID GAUTHIER, CHAIRPERSON
f) CLIENT SERVICES.....PATRICIA JORDAN, CHAIRPERSON
g) COMMUNITY RELATIONS.....ANN LEE, Ph.D, CHAIRPERSON
h) RETIREMENT.....DR. JAMES FLORES, CHAIRPERSON
i) SELF-DETERMINATION.....ANTOINETTE PEREZ, LIAISON
j) SERVICE PROVIDER ADVISORY.....ANGELA RODRIGUEZ, CHAIRPERSON
6. PUBLIC INPUT/ANNOUNCEMENTS
7. CLOSED SESSION.....CHRISTOPHER PATAY, President
8. ADJOURNMENT – 8:00 p.m.



MINUTES

July 19, 2022



David Gauthier, HDDF Secretary



**MINUTES OF THE JULY 19, 2022 MEETING OF THE BOARD OF TRUSTEES
OF THE HARBOR DEVELOPMENTAL DISABILITIES FOUNDATION**

<p><u>BOARD PRESENT:</u> Mr. Gordon Cardona, Board Member Mr. Fu-Tien Chiou, Board Advisor Dr. James Flores, <i>Treasurer</i> Dr. Marco Garcia, Board Member Mr. David Gauthier, <i>Secretary</i> Mr. Jeffrey Herrera, Board Member Ms. Patricia Jordan, Board Member Ms. Ann Lee, Ph.D, <i>Vice-President</i> Mr. Christopher Patay, <i>President</i> Ms. Angie Rodriguez, Board Member Ms. Jackie Solorio, Board Member Ms. Laurie Zaleski, Board Member</p>	<p><u>BOARD ABSENT:</u> Mr. Ron Bergmann, Board Advisor Mr. Joe Czarske, Board Member Mr. LaVelle Gates, Board Advisor Ms. Kim Vuong, Board Member</p>
<p><u>STAFF PRESENT:</u> Mr. Patrick Ruppe, Executive Director Mr. Steve Gocłowski, Manager of Psychological Services Ms. Judy Wada, Chief Financial Officer Ms. Thao Mailloux, Director of Information & Development Ms. LaWanna Blair, Director of Early Childhood Services Ms. Antoinette Perez, Director of Children’s Services Ms. Judy Samana Taimi, Director of Adult Services Ms. Mary Hernandez, Director of Case Management Support Services Mr. Mike Ikegami, Director of Information Technology Mr. Richard Malin, Manager of IT Ms. Jennifer Lauro, Executive Assistant Mr. Jesus Jimenez, Department Assistant Executive Office</p>	<p><u>GUESTS:</u> Mr. Albert Feliciano, SCDD Ms. Jaime Van Dusen, DDS Ms. LeeAnn Christian, Consultant Ms. Jessica Marron, HRC Staff Ms. Carla Redmon, HRC Staff</p>
<p><u>STAFF ABSENT:</u> Ms. Heather Diaz, Director of Community Services</p>	

CALL TO ORDER

Mr. Patay called the Board to order at 6:30 p.m.

PRESIDENT’S REPORT

Mr. Patay welcomed Board members, guest and staff; Mr. Patay took roll call of Board Members and HRC Staff and a quorum was established.

Mr. Patay formerly introduced our two newest board members Laurie Zaleski and Angie Rodriguez and welcomed them to our Board.

Mr. Patay also announced that Board members Ron Bergmann, Fu-Tien Chiou and LaVelle Gates have all agreed to continue to assist the Board as non-voting advisors and thanked them for their continued service and support of our Board and Community.

Mr. Patay reviewed with visitors the various zoom instructions and how to use the interpretation feature.

Mr. Patay encouraged all visitors who wish to address the Board at the end of our meeting during the time we have set aside for public comment to please make a request through the zoom raise your hand feature.

Mr. Patay informed that our annual Board Retreat and Recognition Dinner will be held on Saturday, October 15, 2022 at HRC in Conference Rooms A1/2 from 9:00 am to 2:00 pm and will focus on Harbor’s Strategic Planning, Mission and Vision. A Recognition Dinner will be held following the retreat with details to follow.

Mr. Patay reminded the Board Members that our Board does not meet in August and the next regular business meeting of the Board will be on September 20, 2022.

Mr. Patay announced that elections requiring Board approval for the election of 1) the Slate of Officers for FY 2022-23 and 2) for the Board Committee Roster and Chairs nominated for FY 2022-23 will be brought up for a vote at this time.

- 1) **Board President Chris Patay asked each Board member verbally to vote for the Slate of Officers for FY 2022-23 provided in their board packet and all Board members unanimously voted on the Slate of Officers as follows:**

Chris Patay	President
Ann Lee, Ph.D.	Vice-President
David Gauthier	Secretary
Dr. James Flores	Treasurer

2) Board President Chris Patay asked each Board member verbally to vote for the updated Board Committee Roster and Chairs and all Board members unanimously voted for the new members of each Board Committee and Chairs as presented.

Mr. Patay also announced that there will be a closed session tonight after the public comment portion of the meeting to discuss 1) Lease Negotiations; and 2) Litigation update.

Mr. Patay advised that we will now proceed with our regular agenda.

PRESENTATION OF MINUTES

Mr. Gauthier presented the draft minutes of the July 19, 2022 meeting of our Board which were included in the board packet provided to all Board members and posted for the general public on the HRC website.

The MINUTES OF THE JULY 19, 2022 BOARD MEETING were received and filed.

PRESENTATION OF FINANCIALS

Dr. Flores reviewed the following financial statements, which were received and filed:

- Harbor Regional Center Monthly Financial Report Fiscal Year 2021-22, dated March 2022
- Harbor Regional Center Functional Expense Summary, dated March 2022
- Harbor Regional Center POS Contract Summary, dated March 2022
- Harbor Regional Center Line Item Report, dated March 2022
- Harbor Regional Center Monthly Financial Report Fiscal Year 2021-22, dated April 2022
- Harbor Regional Center Functional Expense Summary, dated April 2022
- Harbor Regional Center POS Contract Summary, dated April 2022
- Harbor Regional Center Line Item Report, dated April 2022
- Harbor Regional Center POS Expenditure Projection Report (PEP) based on Expenditures from FY 2021-22 July 2021 through April 2022

EXECUTIVE REPORT

1. BUDGET UPDATES:

Mr. Ruppe summarized the key highlights of the State Budget, specifically on funding for Work Force, Early Start, Employment, Day Services, Performance Measures, Financial Management Systems (FMS) and a new service category called Coordinated Family Supports.

2. DDS AUDIT:

Mr. Ruppe informed the Board that DDS just recently completed an audit of HRC for fiscal years 2017-18 and 2018-19 on the following areas: purchase of services, regional center operations, targeted case management, service coordinator caseloads, early intervention programs, family cost participation program (FCPP), annual family program fee (AFPF) and on our procurement processes. Mr. Ruppe summarized the findings and informed that the final audit is posted on our website <https://www.harborrc.org/audits-and-reports> as required by statute.

3. MISSION/VISION PLANNING:

Mr. Ruppe reminded the Board that ongoing planning of Harbor Regional Center's Mission and Vision continue as HRC's Mission and Vision statements are key ideas that help us set the course for our agency. Mr. Ruppe informed that our Mission and Vision statements were last reviewed and updated in 2010 and 2011, however since that time our community has seen significant growth and changes, resulting in the time to review our Mission and Vision statements to ensure they are still reflective of our values. Mr. Ruppe requested that all Board members participate in discussion in some form to provide input to our Board Planning Committee who has begun work reviewing these statements with a goal of sharing any revised Mission and Vision statements at our September meeting.

4. CONTRACT FOR APPROVAL – OPERATIONS – CRISIS INTERVENTION PROGRAM: YOUNG ADULT INSTITUTE (YAI):

Mr. Ruppe advised that the Lanterman Act requires any regional center contract which exceeds \$250,000 be approved by the regional center board. Mr. Ruppe reminded the Board that last year they approved the funding for the University of New Hampshire to bring their S.T.A.R.T. Program to Harbor Regional Center. The Young Adult Institute (YAI) has been selected as the provider to implement the S.T.A.R.T. Program to develop one (1) crisis prevention and intervention program utilizing the S.R.A.R.T. model. The S.T.A.R.T. model is a community-based tertiary care crisis intervention system for the individuals with IDD and behavioral health needs. Total funding is not to exceed \$300,000.00. A vote is required for the approval of this contract.

Dr. Flores moved to approve the Operations Crisis Intervention Program with YAI for a total of not to exceed \$300,000.00 and Dr. Lee seconded the motion, which was unanimously approved by the Board, with no opposition. Ms. Rodriguez abstained.

5. CONTRACT FOR APPROVAL – PURCHASE OF SERVICE – HOUSING DEVELOPMENT: BRILLIANT CORNERS PH2551-Individual Adults (HRC-2122-4) and PH2552-Individuals Under 18 Years of Age (HRC-2122-8):

Mr. Ruppe advised that the Lanterman Act requires any regional center contract which exceeds \$250,000 be approved by the regional center board. Mr. Ruppe informed that Brilliant Corners was selected to develop Enhanced Behavioral Support Homes or (EBSH) in our area. EBSH's provide 24-hour non-medical care in a homelike setting to individuals with challenging behaviors who require additional supports, staffing and supervision. The homes offer person-centered planning, positive behavior supports, trauma-informed care and other services and supports which are beyond what is typically available in other community-based homes. DDS has provided funds to develop an adult home and a children's home, thereby HRC has received \$300,000 in acquisition funds and \$400,000 in renovation funds for each home. Mr. Ruppe stated that developing these homes will allow HRC to expand our continuum of services to meet the needs of our community and will also allow HRC greater capacity to support individuals near to their families. Therefore, a vote is required by the Board for the approval of two EBSH's.

Dr. Flores moved to approve the two (2) Purchase of Service contracts for Housing Developments with Brilliant Corners and Dr. Lee seconded the motion, which was unanimously approved by the Board, with no opposition. Ms. Rodriguez abstained.

6. CONTRACT FOR APPROVAL – PURCHASE OF SERVICE – PROFESSIONAL SERVICES: THE COLUMBUS ORGANIZATION:

Mr. Ruppe advised that the Lanterman Act requires any regional center contract which exceeds \$250,000 be approved by the regional center board. Mr. Ruppe referred the Board to the purchase of service contract for approval of professional services by The Columbus Organization that will allow Columbus to continue to offer competitive pay rates for the professionals we utilize through them. Mr. Ruppe informed that this contract modifies the contract the Board approved last year and noted that despite the pay scale increase, the net annual amount does decrease

\$300,000 as one position was eliminated and another position was moved from this contract to individual POS authorizations. A vote is required by the Board for the approval of this contract.

Dr. Flores moved to approve the Purchase of Service contract for Professional Services by The Columbus Organization with a projected annual amount of \$1,451,968 and Dr. Lee seconded the motion, which was unanimously approved by the Board, with no opposition. Ms. Rodriguez abstained.

7. INSURANCE SCHEDULE:

Mr. Ruppe referred the Board to Harbor Regional Center’s Insurance Schedule for Fiscal Year 2022-23 that was provided in their Board packet and noted that increases were attributed to several causes-reclassification of staff as they return to the office and conduct meetings in person, to increase in cyber-attacks across the world and to several pending litigation issues.

8. BOARD MEMBER RECOGNITION – KIM VUONG:

Mr. Ruppe recognized Board member Kim Vuong, a valued member of our Board of Trustees for the past six years and how she continues to look for opportunities to help educate the community on how individuals with Intellectual and Developmental Disabilities are valued and contributing members of their communities. Kim is exemplary at finding ways to break down barriers that persons with Intellectual and Developmental Disabilities face when trying to access the community. Kim is continually recognized by her community and just earlier this year received recognition from Senator Lena A. Gonzalez, a Representative of District 33, who recognized Kim for her excellent advocacy for individuals with disabilities and also for herself! Congratulations Kim!

9. S.T.A.R.T. presentation:

Mr. Ruppe called the Board’s attention to Mr. Steve Gocłowski, Manager of Psychological Services who made a presentation to the Board on the S.T.A.R.T. (Crisis Support Services provided by Young Adult Institute (YAI) and the University of New Hampshire) Program.

COMMITTEE REPORTS

A. ARCA

In Mr. Czarske’s absence, Mr. Ruppe summarized the main topics of the June annual in person ARCA meeting and noted that the conversation focused primarily on DDS’s workload in managing the implementation of over 50 new initiatives in this year’s budget. Mr. Ruppe also reported that ARCA voted on its new officers for the coming year and how a special thank you was provided to all those who served as an officer this past year, including Mr. Czarske. The next meeting is scheduled for August 18-19 in Irvine.

B. AUDIT

Mr. Patay advised that the Audit Committee has not met since our last Board meeting and there is no report.

C. BOARD DEVELOPMENT

In Mr. Czarske’s absence, Mr. Patay indicated that the Board Development Committee brought up their report prior to the start of the meeting to vote on the Slate of Officers for fiscal year 2022-23 and to vote on the updated Board Committee Roster and Chairs. The next meeting is scheduled for August 10, 2022.

D. BOARD PLANNING & COMMUNITY RELATIONS (JOINT)

Mr. Patay advised that the Board Planning Committee has not met since our last Board meeting and there is no report.

E. CLIENT ADVISORY

Mr. Gauthier informed that the Client Advisory Committee met on May 14, 2022 and were provided updates on DDS Initiatives, changes to the Special Incident Reporting (SIR) Requirements in Title 17 and on the HCBS Final Rule. The Committee was also updated on the self-determination program and how Committee members can assist on providing ideas for an HRC Self Advocacy Conference. The next meeting is scheduled for August 13, 2022.

F. CLIENT SERVICES

New Chairperson Ms. Jordan reported that the Committee met on June 28, 2022 and discussed the purpose of the meeting as well as future topics of discussion, with a focus on Mental Health Services, Crisis Intervention, Diversity, Equity and Inclusion. The next meeting is scheduled for August 23, 2022.

G. RETIREMENT

Mr. Patay advised that the Retirement Committee has not met since our last meeting and there is no report.

H. SELF-DETERMINATION ADVISORY

Ms. Perez advised the Board that the Self-Determination Advisory Committee continues to meet monthly via zoom and provided an update on the June meeting. Ms. Perez informed that the minutes of the July meeting will be reported at the September Board meeting and that there is no meeting in August.

I. SERVICE PROVIDER ADVISORY

New Chairperson Angie Rodriguez reported that the Committee met on June 7, 2022 and summarized the highlights of the meeting, which focused mainly on staffing – hiring and retention, the HCBS Final Rule plans for validation and remediation, rate study implementation services and rate study adjustments. Additionally, the Committee reviewed correspondence from DDS regarding payment for non-residential service during COVID. The meeting is scheduled for August 2, 2022.

PUBLIC COMMENT

Mr. Patay advised that public input was next on the agenda. Mr. Patay stated that he will call upon each person who has asked to address the Board and requested that he or she limit their comments to five minutes in order to accommodate everyone.

Mr. Patay indicated that we had one (1) attendee request to address the Board through the Raise Your Hand feature this evening.

CLOSED SESSION

Mr. Patay advised that the Board will go into a closed session, which occurred from 7:50 pm to 8:20 pm.

ADJOURNMENT 8:21 p.m.

Mr. Patay thanked all those who participated in our Board meeting tonight.

Submitted by: _____

David Gauthier, Secretary
Board of Trustees
Harbor Developmental Disabilities Foundation



FINANCIALS



Dr. James Flores, HDDDF Treasurer

**HARBOR REGIONAL CENTER
MONTHLY FINANCIAL REPORT
FISCAL YEAR 2021-22
May-22**

	FY 2021-22 C-3 Allocation	Month Exp	Y-T-D Expenses	Proj. Annual Expenses*	Proj. Funds Available
Operations					
Salaries & Benefits	\$ 31,771,447	\$ 2,835,027	\$ 26,394,772	\$ 31,771,447	\$ -
Operating Expenses	10,430,072	634,203	7,320,772	10,430,072	-
less other income	<u>(203,275)</u>	<u>(10,803)</u>	<u>(195,503)</u>	<u>(203,275)</u>	<u>-</u>
Total Operations	41,998,244	3,458,427	33,520,041	41,998,244	-
Purchase of Service					
Regular*	285,846,547	22,452,773	226,257,261	267,093,998	18,752,549
Compliance with HCBS Regulations **	1,373,394	-	-	1,373,394	-
less other income	<u>(2,646,793)</u>	<u>(194,645)</u>	<u>(2,278,468)</u>	<u>(2,646,793)</u>	<u>-</u>
Subtotal Regular	284,573,148	22,258,128	223,978,792	265,820,599	18,752,549
CPP/CDRP/START***	<u>2,535,000</u>	<u>64,232</u>	<u>160,580</u>	<u>2,535,020</u>	<u>(20)</u>
Total Purchase of Service	287,108,148	22,322,360	224,139,372	268,355,619	18,752,529
TOTAL	\$ 329,106,392	\$ 25,780,787	\$ 257,659,413	\$ 310,353,863	\$ 18,752,529
% of Budget	100.00%	7.83%	78.29%	94.30%	

* The Projected Annual Expenses for Regular POS is based on actual expenditures through May and estimated costs of new programs, growth, and pending service provider rate changes. POS includes an offset for other income for ICF SPA expenditures. ICF SPA expenditures are not funded through the contract with DDS but billed separately. The Projected Expenses decreased by approximately \$1 million compared to the prior month financial report.

The PEP does not include an accurate projection for Regular POS related to the Rate Study Implementation. DDS/HMA-Burns gathered information to update the rate models developed in the original Vendor Rate Study (ABX 2-1). Under AB 136, rate adjustments will be implemented over a 3 year period. Effective April 1, 2022, rates for applicable services received an increase equal to 25% of the difference between the March 31, 2022 rate and the rate model amount. DDS/HMA-Burns calculated the rate increases for each eligible service provider. Late bills reflecting the rate adjustments for some providers are still pending.

** On May 13, 2022, DDS sent the letter of intent for the C-3 contract amendment. The C-3 allocates approximately \$1.5 million in Operations funding and \$2.7 million in POS funds. Operations items include \$275,000 in Service Access & Equity (Disparities) Grant funds and \$785,748 in Language Access and Cultural Competency funds. POS items include \$1.37 million to fund Home and Community-Based Services (HCBS)-Provider Concepts and \$1.3 million in Community Placement Plan And Community Resource Development Plan (CPP/CRDP) funds for Start-up projects.

**HARBOR REGIONAL CENTER
FUNCTIONAL EXPENSE SUMMARY
May-22**

	FY 2021-22 C-3 Allocation	Net Expended Month	Y-T-D	Projected Expenses	Proj. Annual Expenses	Proj. Funds Available
Purchase of Service:						
Residential care facilities	\$ 109,531,217	\$ 9,368,045	\$ 93,173,419	\$ 11,552,325	\$ 104,725,745	\$ 4,805,472
Day programs	84,426,391	6,181,801	66,284,852	10,419,820	76,704,672	7,721,719
Other purchased services	91,888,939	6,902,927	66,798,989	18,864,592	85,663,581	6,225,358
HCBS Compliance	1,373,394	-	-	1,373,394	1,373,394	-
TOTAL PURCHASE OF SERVICE	287,219,941	22,452,773	226,257,261	42,210,131	268,467,392	18,752,549
Community Placement & Program Development:						
TOTAL CPP/CDRP/START	\$ 2,535,000	\$ 64,232	\$ 160,580	2,374,440	\$ 2,535,020	\$ (20)
Salaries and Related Expenses:						
Salaries	24,665,279	1,907,269	20,077,148	4,588,131	24,665,279	-
Employee health and retirement benefits	6,791,779	904,588	6,029,775	762,004	6,791,779	-
Payroll taxes	314,389	23,170	287,849	26,540	314,389	-
Total Salaries and related expenses	31,771,447	2,835,027	26,394,772	5,376,675	31,771,447	-
Operating expenses:						
Facility Rent	5,436,339	410,182	4,915,212	521,127	5,436,339	-
Equipment and facility maintenance	628,446	42,466	406,266	222,180	628,446	-
Equipment purchases	333,042	23,562	152,522	180,520	333,042	-
General	2,481,775	67,616	552,145	1,929,630	2,481,775	-
Communication	557,915	37,965	509,102	48,813	557,915	-
Contract and consultant fee	131,416	33,584	102,203	29,213	131,416	-
General office expenses	275,789	13,082	205,380	70,409	275,789	-
Staff travel	38,846	376	5,257	33,589	38,846	-
Legal fees	69,597	671	43,973	25,624	69,597	-
Printing	123,821	3,472	108,149	15,672	123,821	-
Insurance	279,114	-	258,307	20,807	279,114	-
Accounting fees	62,566	-	52,000	10,566	62,566	-
Board expenses	11,406	1,227	10,258	1,148	11,406	-
Total Operating expenses	10,430,072	634,203	7,320,772	3,109,299	10,430,072	-
TOTAL OPERATIONS	42,201,519	3,469,230	33,715,544	8,485,975	42,201,519	-
TOTAL EXPENSES	\$ 331,956,460	\$ 25,986,235	\$ 260,133,385	\$ 53,070,546	\$ 313,203,930	\$ 18,752,529
Revenues:						
ICF SPA Income	\$ (2,646,793)	\$ (194,645)	\$ (2,278,468)	\$ (368,325)	\$ (2,646,793)	-
Other income	(203,275)	(10,803)	(195,503)	(7,772)	(203,275)	-
TOTAL REVENUES	\$ (2,850,068)	\$ (205,448)	\$ (2,473,971)	\$ (376,096)	\$ (2,850,068)	-
TOTAL	\$ 329,106,392	\$ 25,780,787	\$ 257,659,413	\$ 52,694,449	\$ 310,353,863	\$ 18,752,529

Client Caseload
Month End Caseload

16,902

**HARBOR REGIONAL CENTER
POS CONTRACT SUMMARY
May-22**

Fiscal Year	Contract	Fund	POS Budget	POS Claimed	Current Balance/ (Deficit)	Projected Expenses	Projected Balance/ (Deficit)
2021-22	C-3	Reg POS	\$ 283,199,754	\$ 223,978,792	\$ 59,220,962	\$ 40,468,412	\$ 18,752,549
		CPP/CDRP/START	2,535,000	160,580	2,374,420	2,374,440	(20)
		HCBS Compliance	1,373,394	-	1,373,394	1,373,394	-
		TOTAL	<u>\$ 287,108,148</u>	<u>\$ 224,139,372</u>	<u>\$ 62,968,776</u>	<u>\$ 44,216,246</u>	<u>\$ 18,752,529</u>
2020-21	B-4	Reg POS	\$ 251,586,411	\$ 243,676,697	\$ 7,909,714	\$ 136,072	\$ 7,773,642
		CPP/CDRP	794,841	402,205	392,636	392,636	-
		HCBS Compliance	514,630	49,500	465,130	465,130	-
		TOTAL	<u>\$ 252,895,882</u>	<u>\$ 244,128,402</u>	<u>\$ 8,767,480</u>	<u>\$ 993,838</u>	<u>\$ 7,773,642</u>
2019-20	A-9	Reg POS	\$ 223,767,932	\$ 219,667,277	\$ 4,100,655	\$ (150,000)	\$ 4,250,655
		CPP	2,395,840	2,244,923	150,917	100,000	50,917
		HCBS Compliance	-	-	-	-	-
		TOTAL	<u>\$ 226,163,772</u>	<u>\$ 221,912,200</u>	<u>\$ 4,251,572</u>	<u>\$ (50,000)</u>	<u>\$ 4,301,572</u>

**HARBOR REGIONAL CENTER
LINE ITEM REPORT
May-22**

	FY 2021-22 C-3 Allocation	Net Expended Month	Y-T-D	Projected Expenses	Proj. Annual Expenses	Proj. Funds Available
PURCHASE OF SERVICE						
Regular						
320** Out-of-Home	\$ 109,531,217	\$ 9,368,045	\$ 93,173,419	\$ 11,552,325	\$ 104,725,745	\$ 4,805,472
430** Day Programs	84,426,391	6,181,801	66,284,852	10,419,820	76,704,672	7,721,719
6505* Transportation	9,633,941	838,866	7,323,462	887,288	8,210,750	1,423,191
650** Other Services	73,688,844	5,329,054	52,515,963	17,344,617	69,860,580	3,828,264
Various COVID-19 Related	8,566,154	735,007	6,959,564	632,687	7,592,251	973,903
TBD HCBS Compliance	1,373,394	-	-	1,373,394	1,373,394	-
Subtotal Regular POS	<u>287,219,941</u>	<u>22,452,773</u>	<u>226,257,261</u>	<u>42,210,131</u>	<u>268,467,392</u>	<u>18,752,549</u>
Revenue						
20090 ICF SPA Income	(2,646,793)	(194,645)	(2,278,468)	(368,325)	(2,646,793)	-
TOTAL PURCHASE OF SERVICE	284,573,148	22,258,128	223,978,792	41,841,806	265,820,599	18,752,549
Community Placement & Program Development						
32010 Start Up	1,300,000	-	-	1,300,020	1,300,020	(20)
65*** Placement/Assessment	100,000	-	-	100,000	100,000	-
TBD START (Non-CPP Ops)	1,135,000	64,232	160,580	974,420	1,135,000	-
TOTAL CPP/CDRP/START	2,535,000	64,232	160,580	2,374,440	2,535,020	(20)
OPERATIONS						
Salaries & Benefits						
2501- Salaries and Wages	24,665,279	1,907,269	20,077,148	4,588,131	24,665,279	-
2503- Benefits	7,106,168	927,758	6,317,624	788,544	7,106,168	-
Subtotal Salaries & Benefits	<u>31,771,447</u>	<u>2,835,027</u>	<u>26,394,772</u>	<u>5,376,675</u>	<u>31,771,447</u>	<u>-</u>
Operating Expenses						
30020 Equipment Maint	393,593	19,956	238,390	155,203	393,593	-
30030 Facility Rental	5,291,064	396,012	4,771,060	520,004	5,291,064	-
30035 Facility Rent Subleases	145,275	14,170	144,152	1,123	145,275	-
30040 Facility Maint	234,853	22,510	167,876	66,977	234,853	-
30050 Communication	557,915	37,965	509,102	48,813	557,915	-
30060 General Office Exp	158,020	12,047	151,499	6,521	158,020	-
30070 Printing	123,821	3,472	108,149	15,672	123,821	-
30080 Insurance	279,114	-	258,307	20,807	279,114	-
30090 Utilities	14,958	980	12,411	2,547	14,958	-
30110 Data Processing Maint	86,878	-	35,381	51,497	86,878	-
30123 Interest/Bank Expense	15,933	55	6,089	9,844	15,933	-
30140 Legal Fees	69,597	671	43,973	25,624	69,597	-
30150 Board of Dir. Exp	11,406	1,227	10,258	1,148	11,406	-
30160 Accounting Fees	62,566	-	52,000	10,566	62,566	-
30170 Equipment Purchases	333,042	23,562	152,522	180,520	333,042	-
30180 Contr/Consult Services	131,416	33,584	102,203	29,213	131,416	-
30184 Clinical Services	148,375	16,392	58,798	89,577	148,375	-
30185 Employee Conferences	29,344	8,063	28,252	1,092	29,344	-
30220 Travel in State	11,596	-	843	10,753	11,596	-
30223 Staff Mileage	27,250	376	4,414	22,836	27,250	-
30230 ARCA Dues	94,276	-	80,458	13,818	94,276	-
30240 General Expenses	649,032	25,742	200,652	448,380	649,032	-
30241 Disparities, Language Access	1,060,748	7,035	7,035	1,053,713	1,060,748	-
30247 General Exp C19 SOE	500,000	10,384	176,949	323,051	500,000	-
Subtotal Operating Expenses	<u>10,430,072</u>	<u>634,203</u>	<u>7,320,772</u>	<u>3,109,299</u>	<u>10,430,072</u>	<u>-</u>
Other Revenue						
20040 Interest Income	(32,887)	(2,036)	(32,887)	-	(32,887)	-
20050 Other Income	(5,259)	(1,001)	(5,059)	(200)	(5,259)	-
20055 Other Income-Subleases	(120,259)	(7,151)	(117,449)	(2,810)	(120,259)	-
20100 ICF SPA Admin Fee	(44,870)	(614)	(40,109)	(4,762)	(44,870)	-
Subtotal Other Revenue	<u>(203,275)</u>	<u>(10,803)</u>	<u>(195,503)</u>	<u>(7,772)</u>	<u>(203,275)</u>	<u>-</u>
TOTAL OPERATIONS	41,998,244	3,458,427	33,520,041	8,478,203	41,998,244	-
TOTAL	\$ 329,106,392	\$ 25,780,787	\$ 257,659,413	\$ 52,694,449	\$ 310,353,863	\$ 18,752,529
% of Budget	100.00%	7.83%	78.29%	16.01%	94.30%	5.70%

**HARBOR REGIONAL CENTER
MONTHLY FINANCIAL REPORT
FISCAL YEAR 2021-22
Jun-22**

	FY 2021-22 C-3 Allocation	Month Exp	Y-T-D Expenses	Proj. Annual Expenses*	Proj. Funds Available
Operations					
Salaries & Benefits	\$ 31,791,447	\$ 3,245,236	\$ 29,640,008	\$ 31,791,447	\$ -
Operating Expenses	10,410,072	532,919	7,853,691	10,410,072	-
less other income	(203,275)	(7,772)	(203,275)	(203,275)	-
Total Operations	<u>41,998,244</u>	<u>3,770,383</u>	<u>37,290,424</u>	<u>41,998,244</u>	<u>-</u>
Purchase of Service					
Regular*	285,846,547	26,204,456	252,461,717	265,876,223	19,970,324
Compliance with HCBS Regulations	1,373,394	-	-	1,373,394	-
less other income	(2,646,793)	(216,966)	(2,495,434)	(2,646,793)	-
Subtotal Regular	<u>284,573,148</u>	<u>25,987,490</u>	<u>249,966,283</u>	<u>264,602,824</u>	<u>19,970,324</u>
CPP/CDRP/START***	<u>2,535,000</u>	<u>32,116</u>	<u>192,696</u>	<u>2,535,020</u>	<u>(20)</u>
Total Purchase of Service	<u>287,108,148</u>	<u>26,019,606</u>	<u>250,158,979</u>	<u>267,137,844</u>	<u>19,970,304</u>
TOTAL	\$ 329,106,392	\$ 29,789,990	\$ 287,449,403	\$ 309,136,088	\$ 19,970,304
% of Budget	100.00%	9.05%	87.34%	93.93%	

* The Projected Annual Expenses for Regular POS is based on actual expenditures through June and estimated costs of new programs, growth, and pending service provider rate changes. POS includes an offset for other income for ICF SPA expenditures. ICF SPA expenditures are not funded through the contract with DDS but billed separately. The Projected Expenses decreased by approximately \$1.2 million compared to the prior month financial report.

The PEP does not include an accurate projection for Regular POS related to the Rate Study Implementation. DDS/HMA-Burns gathered information to update the rate models developed in the original Vendor Rate Study (ABX 2-1). Under AB 136, rate adjustments will be implemented over a 3 year period. Effective April 1, 2022, rates for applicable services received an increase equal to 25% of the difference between the March 31, 2022 rate and the rate model amount. DDS/HMA-Burns calculated the rate increases for each eligible service provider. Late bills reflecting the rate adjustments for some providers are still pending.

**HARBOR REGIONAL CENTER
FUNCTIONAL EXPENSE SUMMARY
Jun-22**

	FY 2021-22 C-3 Allocation	Net Expended Month	Y-T-D	Projected Expenses	Proj. Annual Expenses	Proj. Funds Available
Purchase of Service:						
Residential care facilities	\$ 109,243,722	\$ 9,843,854	\$ 103,017,274	\$ 1,322,993	\$ 104,340,267	\$ 4,903,455
Day programs	84,426,391	6,672,253	72,957,105	3,786,553	76,743,658	7,682,733
Other purchased services	92,176,434	9,688,349	76,487,338	8,304,960	84,792,298	7,384,136
HCBS Compliance	1,373,394	-	-	1,373,394	1,373,394	-
TOTAL PURCHASE OF SERVICE	287,219,941	26,204,456	252,461,717	14,787,900	267,249,617	19,970,324
Community Placement & Program Development:						
TOTAL CPP/CDRP/START	\$ 2,535,000	\$ 32,116	\$ 192,696	2,342,324	\$ 2,535,020	\$ (20)
Salaries and Related Expenses:						
Salaries	24,665,279	2,874,528	22,951,675	1,713,604	24,665,279	-
Employee health and retirement benefits	6,791,779	347,539	6,359,118	432,661	6,791,779	-
Payroll taxes	334,389	23,170	329,214	5,175	334,389	-
Total Salaries and related expenses	31,791,447	3,245,236	29,640,008	2,151,439	31,791,447	-
Operating expenses:						
Facility Rent	5,436,339	-	4,915,212	521,127	5,436,339	-
Equipment and facility maintenance	628,446	70,001	476,267	152,179	628,446	-
Equipment purchases	333,042	40,851	193,373	139,669	333,042	-
General	2,408,775	293,646	845,791	1,562,984	2,408,775	-
Communication	557,915	34,389	543,490	14,425	557,915	-
Contract and consultant fee	131,416	18,121	120,324	11,092	131,416	-
General office expenses	275,789	18,384	223,764	52,025	275,789	-
Staff travel	38,846	2,887	8,144	30,702	38,846	-
Legal fees	119,597	49,804	93,777	25,820	119,597	-
Printing	123,821	2,358	110,506	13,315	123,821	-
Insurance	279,114	516	258,823	20,291	279,114	-
Accounting fees	62,566	-	52,000	10,566	62,566	-
Board expenses	14,406	1,964	12,222	2,184	14,406	-
Total Operating expenses	10,410,072	532,919	7,853,691	2,556,381	10,410,072	-
TOTAL OPERATIONS	42,201,519	3,778,155	37,493,699	4,707,820	42,201,519	-
TOTAL EXPENSES	\$ 331,956,460	\$ 30,014,727	\$ 290,148,112	\$ 21,838,044	\$ 311,986,156	\$ 19,970,304
Revenues:						
ICF SPA Income	\$ (2,646,793)	\$ (216,966)	\$ (2,495,434)	\$ (151,359)	\$ (2,646,793)	\$ -
Other income	(203,275)	(7,772)	(203,275)	-	(203,275)	-
TOTAL REVENUES	\$ (2,850,068)	\$ (224,738)	\$ (2,698,709)	\$ (151,359)	\$ (2,850,068)	\$ -
TOTAL	\$ 329,106,392	\$ 29,789,990	\$ 287,449,403	\$ 21,686,685	\$ 309,136,088	\$ 19,970,304

Client Caseload
Month End Caseload

16,933

**HARBOR REGIONAL CENTER
POS CONTRACT SUMMARY
Jun-22**

Fiscal Year	Contract	Fund	POS Budget	POS Claimed	Current Balance/ (Deficit)	Projected Expenses	Projected Balance/ (Deficit)
2021-22	C-3	Reg POS	\$ 283,199,754	\$ 249,966,283	\$ 33,233,471	\$ 13,263,147	\$ 19,970,324
		CPP/CDRP/START	2,535,000	192,696	2,342,304	2,342,324	(20)
		HCBS Compliance	1,373,394	-	1,373,394	1,373,394	-
		TOTAL	<u>\$ 287,108,148</u>	<u>\$ 250,158,979</u>	<u>\$ 36,949,169</u>	<u>\$ 16,978,865</u>	<u>\$ 19,970,304</u>
2020-21	B-4	Reg POS	\$ 251,586,411	\$ 243,977,317	\$ 7,609,094	\$ 50,000	\$ 7,559,094
		CPP/CDRP	794,841	402,205	392,636	392,636	-
		HCBS Compliance	514,630	187,623	327,007	327,007	-
		TOTAL	<u>\$ 252,895,882</u>	<u>\$ 244,567,145</u>	<u>\$ 8,328,737</u>	<u>\$ 769,643</u>	<u>\$ 7,559,094</u>
2019-20	A-9	Reg POS	\$ 223,767,932	\$ 219,520,402	\$ 4,247,530	-	\$ 4,247,530
		CPP	2,395,840	2,344,923	50,917	-	50,917
		HCBS Compliance	-	-	-	-	-
		TOTAL	<u>\$ 226,163,772</u>	<u>\$ 221,865,325</u>	<u>\$ 4,298,447</u>	<u>\$ -</u>	<u>\$ 4,298,447</u>

HARBOR REGIONAL CENTER
LINE ITEM REPORT
Jun-22

	FY 2021-22 C-3 Allocation	Net Expended Month	Y-T-D	Projected Expenses	Proj. Annual Expenses	Proj. Funds Available
PURCHASE OF SERVICE						
Regular						
320** Out-of-Home	\$ 109,243,722	\$ 9,843,854	\$ 103,017,274	\$ 1,322,993	\$ 104,340,267	\$ 4,903,455
430** Day Programs	84,426,391	6,672,253	72,957,105	3,786,553	76,743,658	7,682,733
6505* Transportation	9,633,941	792,767	8,116,230	55,153	8,171,383	1,462,558
650** Other Services	74,263,833	7,813,336	60,329,299	8,249,807	68,579,106	5,684,727
Various COVID-19 Related	8,278,660	1,082,246	8,041,809	(0)	8,041,809	236,851
TBD HCBS Compliance	1,373,394	-	-	1,373,394	1,373,394	-
Subtotal Regular POS	287,219,941	26,204,456	252,461,717	14,787,900	267,249,617	19,970,324
Revenue						
20090 ICF SPA Income	(2,646,793)	(216,966)	(2,495,434)	(151,359)	(2,646,793)	-
TOTAL PURCHASE OF SERVICE	284,573,148	25,987,490	249,966,283	14,636,541	264,602,824	19,970,324
Community Placement & Program Development						
32010 Start Up	1,300,000	-	-	1,300,020	1,300,020	(20)
65*** Placement/Assessment	100,000	-	-	100,000	100,000	-
TBD START (Non-CPP Ops)	1,135,000	32,116	192,696	942,304	1,135,000	-
TOTAL CPP/CDRP/START	2,535,000	32,116	192,696	2,342,324	2,535,020	(20)
OPERATIONS						
Salaries & Benefits						
2501- Salaries and Wages	24,665,279	2,874,528	22,951,675	1,713,604	24,665,279	-
2503- Benefits	7,126,168	370,709	6,688,333	437,835	7,126,168	-
Subtotal Salaries & Benefits	31,791,447	3,245,236	29,640,008	2,151,439	31,791,447	-
Operating Expenses						
30020 Equipment Maint	393,593	53,541	291,931	101,662	393,593	-
30030 Facility Rental	5,291,064	-	4,771,060	520,004	5,291,064	-
30035 Facility Rent Subleases	145,275	-	144,152	1,123	145,275	-
30040 Facility Maint	234,853	16,460	184,336	50,517	234,853	-
30050 Communication	557,915	34,389	543,490	14,425	557,915	-
30060 General Office Exp	158,020	5,766	157,264	756	158,020	-
30070 Printing	123,821	2,358	110,506	13,315	123,821	-
30080 Insurance	279,114	516	258,823	20,291	279,114	-
30090 Utilities	14,958	1,128	13,539	1,419	14,958	-
30110 Data Processing Maint	86,878	11,450	46,831	40,047	86,878	-
30123 Interest/Bank Expense	15,933	41	6,130	9,803	15,933	-
30140 Legal Fees	119,597	49,804	93,777	25,820	119,597	-
30150 Board of Dir. Exp	14,406	1,964	12,222	2,184	14,406	-
30160 Accounting Fees	62,566	-	52,000	10,566	62,566	-
30170 Equipment Purchases	333,042	40,851	193,373	139,669	333,042	-
30180 Contr/Consult Services	131,416	18,121	120,324	11,092	131,416	-
30184 Clinical Services	148,375	16,363	75,161	73,214	148,375	-
30185 Employee Conferences	44,344	11,643	39,895	4,449	44,344	-
30220 Travel in State	11,596	-	843	10,753	11,596	-
30223 Staff Mileage	27,250	2,887	7,300	19,950	27,250	-
30230 ARCA Dues	94,276	-	80,458	13,818	94,276	-
30240 General Expenses	561,032	101,496	302,148	258,884	561,032	-
30241 Disparities	275,000	47,924	54,959	220,041	275,000	-
TBD Language Access	785,748	-	-	785,748	785,748	-
30247 General Exp C19 SOE	500,000	116,220	293,170	206,830	500,000	-
Subtotal Operating Expenses	10,410,072	532,919	7,853,691	2,556,381	10,410,072	-
Other Revenue						
20040 Interest Income	(32,887)	-	(32,887)	-	(32,887)	-
20050 Other Income	(5,259)	(200)	(5,259)	-	(5,259)	-
20055 Other Income-Subleases	(120,259)	(2,810)	(120,259)	-	(120,259)	-
20100 ICF SPA Admin Fee	(44,870)	(4,762)	(44,870)	-	(44,870)	-
Subtotal Other Revenue	(203,275)	(7,772)	(203,275)	-	(203,275)	-
TOTAL OPERATIONS	41,998,244	3,770,383	37,290,424	4,707,820	41,998,244	-
TOTAL	\$ 329,106,392	\$ 29,789,990	\$ 287,449,403	\$ 21,686,685	\$ 309,136,088	\$ 19,970,304
% of Budget	100.00%	9.05%	87.34%	6.59%	93.93%	6.07%

**HARBOR REGIONAL CENTER
MONTHLY FINANCIAL REPORT
FISCAL YEAR 2022-23
Jul-22**

	FY 2022-23 D Preliminary	Month Exp	Y-T-D Expenses	Proj. Annual Expenses*	Proj. Funds Available
Operations					
Salaries & Benefits	\$ 26,296,532	\$ 3,335,532	\$ 3,335,532	\$ 31,913,268	\$ (5,616,736)
Operating Expenses	7,383,125	1,239,439	1,239,439	7,680,758	(297,633.00)
less other income	(164,899)	(21,006)	(21,006)	(164,899)	-
Total Operations	<u>33,514,758</u>	<u>4,553,964</u>	<u>4,553,964</u>	<u>39,429,127</u>	<u>(5,914,369)</u>
Purchase of Service					
Regular*	252,977,418	17,097,150	17,097,150	310,011,676	(57,034,258)
Compliance with HCBS Regulations **	-	-	-	-	-
less other income	(2,144,015)	(178,668)	(178,668)	(2,144,015)	-
Subtotal Regular	<u>250,833,403</u>	<u>16,918,482</u>	<u>16,918,482</u>	<u>307,867,661</u>	<u>(57,034,258)</u>
CPP/CDRP/START***	-	-	-	-	-
Total Purchase of Service	<u>250,833,403</u>	<u>16,918,482</u>	<u>16,918,482</u>	<u>307,867,661</u>	<u>(57,034,258)</u>
TOTAL	\$ 284,348,161	\$ 21,472,447	\$ 21,472,447	\$ 347,296,788	\$ (62,948,627)
% of Budget	100.00%	7.55%	7.55%	122.14%	

* This is a very preliminary budget. The Preliminary Allocation for FY 2022-23 was received from DDS on June 7, 2022. The 1st Amendment is pending.

The Explanation of Items states that the Preliminary Contract Amendment allocated 85% of the FY 2022-23 proposed Regular Operations base funds available for regional center allocation per the 2022 May Revision. The Operations Projected Annual Expenses assumes that the remaining 15% will be allocated.

The Explanation of Items states that the Preliminary Contract Amendment allocated 80% of FY 2022-23 Regular Purchase of Service base funds available per the 2022 May Revision. The Regular POS Projected Annual Expenses reflects increases for both the Rate Study Implementation and for caseload growth compared to the prior fiscal year (FY 2021-22) spending.

**HARBOR REGIONAL CENTER
FUNCTIONAL EXPENSE SUMMARY
Jul-22**

	FY 2022-23 D Preliminary	Net Expended Month	Y-T-D	Projected Expenses	Proj. Annual Expenses	Proj. Funds Available
Purchase of Service:						
Residential care facilities	\$ 103,227,705	\$ 8,971,743	\$ 8,971,743	\$ 112,689,008	\$ 121,660,751	\$ (18,433,046)
Day programs	73,106,134	5,098,490	5,098,490	84,384,615	89,483,105	(16,376,971)
Other purchased services	76,643,579	3,026,916	3,026,916	95,840,903	98,867,819	(22,224,240)
HCBS Compliance	-	-	-	-	-	-
TOTAL PURCHASE OF SERVICE	252,977,418	17,097,150	17,097,150	292,914,526	310,011,676	(57,034,258)
Community Placement & Program Development:						
TOTAL CPP/CDRP/START	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Salaries and Related Expenses:						
Salaries	20,354,974	2,371,931	2,371,931	22,330,708	24,702,639	(4,347,665)
Employee health and retirement benefits	5,649,940	940,431	929,475	5,927,249	6,856,724	(1,206,784)
Payroll taxes	291,618	23,170	34,126	319,779	353,905	(62,287)
Total Salaries and related expenses	26,296,532	3,335,532	3,335,532	28,577,736	31,913,268	(5,616,736)
Operating expenses:						
Facility Rent	4,989,499	826,937	826,937	4,162,562	4,989,499	-
Equipment and facility maintenance	443,666	27,173	27,173	449,094	476,267	(32,601)
Equipment purchases	159,340	2,860	2,860	190,513	193,373	(34,033)
General	380,392	12,382	12,382	412,345	424,727	(44,335)
Communication	447,887	51,680	51,680	491,783	543,463	(95,576)
Contract and consultant fee	120,324	4,875	4,875	115,449	120,324	-
General office expenses	259,670	129,858	129,858	169,058	298,916	(39,246)
Staff travel	30,600	-	-	42,993	42,993	(12,393)
Legal fees	94,393	638	638	113,756	114,393	(20,000)
Printing	91,057	17,466	17,466	93,040	110,506	(19,449)
Insurance	293,275	165,570	165,570	127,705	293,275	-
Accounting fees	60,800	-	-	60,800	60,800	-
Board expenses	12,222	-	-	12,222	12,222	-
Total Operating expenses	7,383,125	1,239,439	1,239,439	6,441,319	7,680,758	(297,633)
TOTAL OPERATIONS	33,679,657	4,574,970	4,574,970	35,019,056	39,594,026	(5,914,369)
TOTAL EXPENSES	\$ 286,657,075	\$ 21,672,120	\$ 21,672,120	\$ 327,933,582	\$ 349,605,702	\$ (62,948,627)
Revenues:						
ICF SPA Income	\$ (2,144,015)	\$ (178,668)	\$ (178,668)	\$ (1,965,347)	\$ (2,144,015)	\$ -
Other income	(164,899)	(21,006)	(21,006)	(143,893)	(164,899)	-
TOTAL REVENUES	\$ (2,308,914)	\$ (199,674)	\$ (199,674)	\$ (2,109,240)	\$ (2,308,914)	\$ -
TOTAL	\$ 284,348,161	\$ 21,472,447	\$ 21,472,447	\$ 325,824,342	\$ 347,296,788	\$ (62,948,627)

Client Caseload

Month End Caseload

17,107

**HARBOR REGIONAL CENTER
POS CONTRACT SUMMARY
Jul-22**

Fiscal Year	Contract	Fund	POS Budget	POS Claimed	Current Balance/ (Deficit)	Projected Expenses	Projected Balance/ (Deficit)
2022-23	D-Prelim	Reg POS	\$ 250,833,403	\$ 16,918,482	\$ 233,914,921	\$ 290,949,179	\$ (57,034,258)
		CPP/CDRP/START	-	-	-	-	-
		HCBS Compliance	-	-	-	-	-
		TOTAL	<u>\$ 250,833,403</u>	<u>\$ 16,918,482</u>	<u>\$ 233,914,921</u>	<u>\$ 290,949,179</u>	<u>\$ (57,034,258)</u>
2021-22	C-3	Reg POS	\$ 283,199,754	\$ 249,966,283	\$ 33,233,471	\$ 13,765,925	\$ 19,467,546
		CPP/CDRP/START	2,535,000	-	2,535,000	2,535,000	-
		HCBS Compliance	1,373,394	-	1,373,394	1,373,394	-
		TOTAL	<u>\$ 287,108,148</u>	<u>\$ 249,966,283</u>	<u>\$ 37,141,865</u>	<u>\$ 17,674,319</u>	<u>\$ 19,467,546</u>
2020-21	B-4	Reg POS	\$ 251,586,411	\$ 243,977,317	\$ 7,609,094	50,000.00	\$ 7,559,094
		CPP/CDRP	794,841	402,205	392,636	392,635.93	-
		HCBS Compliance	514,630.00	187,622.74	327,007.26	327,007.26	-
		TOTAL	<u>\$ 252,895,882</u>	<u>\$ 244,567,145</u>	<u>\$ 8,328,737</u>	<u>\$ 769,643</u>	<u>\$ 7,559,094</u>

**HARBOR REGIONAL CENTER
LINE ITEM REPORT
Jul-22**

	FY 2022-23 D Preliminary	Net Expended Month	Y-T-D	Projected Expenses	Proj. Annual Expenses	Proj. Funds Available
PURCHASE OF SERVICE						
Regular						
320** Out-of-Home	\$ 103,227,705	\$ 8,971,743	\$ 8,971,743	\$ 112,689,008	\$ 121,660,751	\$ (18,433,046)
430** Day Programs	73,106,134	5,098,490	5,098,490	84,384,615	89,483,105	(16,376,971)
6505* Transportation	8,132,809	446,764	446,764	9,081,069	9,527,833	(1,395,024)
650** Other Services	68,510,770	2,580,153	2,580,153	86,759,834	89,339,987	(20,829,217)
Various COVID-19 Related	-	-	-	-	-	-
TBD HCBS Compliance	-	-	-	-	-	-
Subtotal Regular POS	<u>252,977,418</u>	<u>17,097,150</u>	<u>17,097,150</u>	<u>292,914,526</u>	<u>310,011,676</u>	<u>(57,034,258)</u>
Revenue						
20090 ICF SPA Income	(2,144,015)	(178,668)	(178,668)	(1,965,347)	(2,144,015)	-
TOTAL PURCHASE OF SERVICE	250,833,403	16,918,482	16,918,482	290,949,179	307,867,661	(57,034,258)
Community Placement & Program Development						
32010 Start Up	-	-	-	-	-	-
65*** Placement/Assessment	-	-	-	-	-	-
TBD START (Non-CPP Ops)	-	-	-	-	-	-
TOTAL CPP/CDRP/START	-	-	-	-	-	-
OPERATIONS						
Salaries & Benefits						
2501- Salaries and Wages	20,354,974	2,371,931	2,371,931	22,330,708	24,702,639	(4,347,665)
2503- Benefits	5,941,558	963,601	963,601	6,247,028	7,210,629	(1,269,071)
Subtotal Salaries & Benefits	<u>26,296,532</u>	<u>3,335,532</u>	<u>3,335,532</u>	<u>28,577,736</u>	<u>31,913,268</u>	<u>(5,616,736)</u>
Operating Expenses						
30020 Equipment Maint	291,773	19,956	19,956	271,975	291,931	(158)
30030 Facility Rental	4,888,198	826,937	826,937	4,061,261	4,888,198	-
30035 Facility Rent Subleases	101,301	-	-	101,301	101,301	-
30040 Facility Maint	151,893	7,217	7,217	177,119	184,336	(32,443)
30050 Communication	447,887	51,680	51,680	491,783	543,463	(95,576)
30060 General Office Exp	129,874	11,186	11,186	146,230	157,416	(27,542)
30070 Printing	91,057	17,466	17,466	93,040	110,506	(19,449)
30080 Insurance	293,275	165,570	165,570	127,705	293,275	-
30090 Utilities	11,156	1,586	1,586	11,953	13,539	(2,383)
30110 Data Processing Maint	113,589	116,907	116,907	4,924	121,831	(8,242)
30123 Interest/Bank Expense	5,051	178	178	5,952	6,130	(1,079)
30140 Legal Fees	94,393	638	638	113,756	114,393	(20,000)
30150 Board of Dir. Exp	12,222	-	-	12,222	12,222	-
30160 Accounting Fees	60,800	-	-	60,800	60,800	-
30170 Equipment Purchases	159,340	2,860	2,860	190,513	193,373	(34,033)
30180 Contr/Consult Services	120,324	4,875	4,875	115,449	120,324	-
30184 Clinical Services	61,933	-	-	75,161	75,161	(13,228)
30185 Employee Conferences	32,873	-	-	39,895	39,895	(7,022)
30220 Travel in State	9,350	-	-	10,850	10,850	(1,500)
30223 Staff Mileage	21,250	-	-	32,143	32,143	(10,893)
30230 ARCA Dues	66,297	-	-	80,458	80,458	(14,161)
30240 General Expenses	219,289	12,382	12,382	216,831	229,213	(9,924)
30241 Disparities, Language Access	-	-	-	-	-	-
30247 General Exp C19 SOE	-	-	-	-	-	-
Subtotal Operating Expenses	<u>7,383,125</u>	<u>1,239,439</u>	<u>1,239,439</u>	<u>6,441,319</u>	<u>7,680,758</u>	<u>(297,633)</u>
Other Revenue						
20040 Interest Income	(29,598)	(2,937)	(2,937)	(26,661)	(29,598)	-
20050 Other Income	(4,803)	(185)	(185)	(4,618)	(4,803)	-
20055 Other Income-Subleases	(85,628)	(14,303)	(14,303)	(71,325)	(85,628)	-
20100 ICF SPA Admin Fee	(44,870)	(3,581)	(3,581)	(41,289)	(44,870)	-
Subtotal Other Revenue	<u>(164,899)</u>	<u>(21,006)</u>	<u>(21,006)</u>	<u>(143,893)</u>	<u>(164,899)</u>	<u>-</u>
TOTAL OPERATIONS	33,514,758	4,553,964	4,553,964	34,875,163	39,429,127	(5,914,369)
TOTAL	\$ 284,348,161	\$ 21,472,447	\$ 21,472,447	\$ 325,824,342	\$ 347,296,788	\$ (62,948,627)
% of Budget	100.00%	7.55%	7.55%	114.59%	122.14%	-22.14%

**Harbor Developmental Disabilities Foundation
Harbor Help Fund**

**Statement of Activities
Fiscal Year 2021-22**

	FY 2019-20 TOTAL	FY 2020-21 TOTAL	FY 2021-22	
			Qtr ending June 30, 2022	FY 2021-22 YTD TOTAL
Income				
Donations				
Employee Donations	\$ 11,588	\$ 11,091	\$ 3,071	\$ 13,042
Employee Donations - masks	4,330	480	-	-
Gift cards - in kind	4,225	-	-	7,000
General Donations	12,080	11,660	6,063	33,131
Staff Appreciation Day	5,000	-	-	-
Holiday Donations	26,570	61,423	-	25,338
Needy Families Campaign	27,390	12,993	-	150
Total Donations	<u>91,183</u>	<u>97,646</u>	<u>9,134</u>	<u>78,661</u>
Interest	<u>4,048</u>	<u>351</u>	<u>(1,135)</u>	<u>(1,685)</u>
Total Income	<u>95,231</u>	<u>97,997</u>	<u>8,000</u>	<u>76,976</u>
Expenses				
Holiday Giving Campaign	39,997	487	-	68,600
Needy Families - Gift cards	17,525	83,071	(1,650)	37,675
Mask purchase	1,500	-	-	-
Other expense	-	-	-	250
Grants to Clients	<u>1,000</u>	<u>-</u>	<u>-</u>	<u>600</u>
Total Expenses	<u>60,022</u>	<u>83,558</u>	<u>(1,650)</u>	<u>107,125</u>
Net Increase/(Decrease)	<u>\$ 35,210</u>	<u>\$ 14,439</u>	<u>\$ 9,650</u>	<u>\$ (30,149)</u>
Beginning Balance	\$ 185,565	\$ 220,774	\$ 195,415	\$ 235,214
Income	95,231	97,997	8,000	76,976
Expenses	<u>60,022</u>	<u>83,558</u>	<u>(1,650)</u>	<u>107,125</u>
Ending Balance	<u>\$ 220,774</u>	<u>\$ 235,214</u>	<u>\$ 205,064</u>	<u>\$ 205,064</u>
Ending Balance Detail				
Cash	\$ 94,285	\$ 102,847	\$ 94,344	\$ 94,344
CD	100,939	100,342	98,070	98,070
Gift card inventory	25,200	31,675	12,150	12,150
Receivables	<u>350</u>	<u>350</u>	<u>500</u>	<u>500</u>
Total Balance	<u>\$ 220,774</u>	<u>\$ 235,214</u>	<u>\$ 205,064</u>	<u>\$ 205,064</u>

A

A Needy Families Campaign includes gift cards purchased but not yet distributed.



EXECUTIVE REPORT



Patrick Ruppe, HRC Executive Director

September 20, 2022



BUDGET UPDATE



	FY 2021-22 C-3	FY 2022-23 D-1	Δ%	Comments
Operations				
Core Staffing PS	\$27,594,000	\$27,675,000	0.3%	
Operating Expenses & Equipment	\$2,261,367	\$2,329,387	3.0%	
Facility Rent, Utilities & Maintenance	\$4,790,257	\$5,051,247	5.4%	Does not include New Facilities
PY Reductions	(\$2,143,373)	(\$2,000,599)	-6.7%	
PY ABX2 1 Items	\$2,813,212	\$2,813,212	0.0%	
HCBS Funding-related, Misc. Federal	\$1,825,938	\$1,976,530	8.2%	
<u>Policy Items</u>				
PY Policy Items in Baseline Misc.	\$3,212,827	\$3,444,719	7.2%	
Language Access & Cultural Competency	\$785,748	\$392,874	-50.0%	
Performance Incentives Reduced Caseloads		\$2,835,192		
Reduced Caseload Ratio through Age 5		\$2,133,968		
Early Start - Eligibility, Part C to B Transitions		\$254,049		
Specialized Home Monitoring		\$73,705		
Family Resource Centers	\$101,665	\$101,665	0.0%	
CPP/CRDP	\$338,746	\$169,363	-50.0%	
Subtotal Base Funding	\$41,580,387	\$47,250,312	13.6%	
<u>Policy Items--One-time</u>				
START	\$1,135,000	\$0	-100.0%	
Disparities	\$275,000	\$0	-100.0%	Later allocation by project
Tribal Engagement for ES Services	\$0	\$0		
Part C ARPA	\$142,857	\$0	-100.0%	
Subtotal One-time Funding	\$1,552,857	\$0	-100.0%	
TOTAL OPERATIONS	\$43,133,244	\$47,250,312	9.5%	

	FY 2021-22 C-3	FY 2022-23 D-1	Δ%	Comments
POS				
Base Allocation	\$279,676,636	\$314,552,115	12.5%	
Policy Items				
CIE & PIP	\$1,798,066	\$0	-100.0%	Later allocation based on actuals
SB3 Min Wage 1/1/2022	\$1,725,052	\$3,138,885	82.0%	PY 1/2 year; CY full
SB3 1/1/2023 CPI Adjustment	\$0	\$866,808		CY 1/2 year
Early Start Eligibility	\$0	\$161,673		
SDP Ongoing Implementation	\$0	\$127,417		
Quality Incentive Program (DSP Workforce Survey)	\$0	\$568,000		
Service Provider Rate Reform	\$0	\$16,903,852		Adjustments 4/1/2022 and 1/1/2023
Subtotal Regular POS	\$283,199,754	\$336,318,750	18.8%	
CPP Placement	\$100,000	\$100,000	0.0%	
CPP/CRDP Start-Up	\$1,300,000	\$0	-100.0%	Later allocation by project
CPP Assessment	\$0	\$0		
HCBS Waiver Compliance	\$1,373,394	\$622,672	-54.7%	
Subtotal Project Funding	\$2,773,394	\$722,672	-73.9%	
Total POS	\$285,973,148	\$337,041,422	17.9%	
TOTAL	\$329,106,392	\$384,291,734	16.8%	



CONTRACT FOR BOARD APPROVAL



CONTRACTS REQUIRING BOARD APPROVAL

Harbor Regional Center
Meeting of the Board of Trustees
September 20, 2022

OPERATIONS

Professional Services

D'Escoto West
8697 Melrose Avenue
Suite BM-34
Los Angeles, CA 90069

Description of Services:

Remodel of offices located on the first floor of 21311 Hawthorne Boulevard. This is a tenant improvement of nearly 3,200 square feet of office space formerly occupied by subtenants of HRC. The improvements include electrical, HVAC modifications, drywall, millwork, ceilings, floor coverings, etc. The renovated offices will be occupied by two (2) case management teams.

Contract Period: Start October 2022
Estimated Amount: \$470,900.00

CERTIFICATION

I, the undersigned, _____ of Harbor Regional Center do certify that the foregoing is a true, exact and correct copy of the contract(s) presented to and approved by the Board of Trustees on the _____ day of _____, 2022.

Signed by: _____

Date: _____



**HDDE FIRST AMENDMENT TO THE
SEVENTH RESTATEMENT OF
BYLAWS
(FOR BOARD APPROVAL)**

<https://www.harborrc.org/board-trustees>

HRC's Current By-Laws located on our website:

**[https://www.harborrc.org/sites/main/files/file-
attachments/seventh_restatement_of_bylaws_approved_on_05.18.21.pdf?1622575154](https://www.harborrc.org/sites/main/files/file-attachments/seventh_restatement_of_bylaws_approved_on_05.18.21.pdf?1622575154)**



**HARBOR DEVELOPMENTAL DISABILITIES FOUNDATION
FIRST AMENDMENT TO THE SEVENTH RESTATEMENT OF BYLAWS**

WHEREAS, the Department of Developmental Services' (DDS) contract with Harbor Regional Center (HRC) requires a board-approved policy or board-approved bylaws to describe the selection, training and monitoring of facilitators who will support Board members to ensure maximum understanding and participation in carrying out their roles and responsibilities under Welfare & Institutions Code section 4622, subdivision(g)(1) and (2); and

WHEREAS, HRC wishes to provide this assurance through an amendment to its Bylaws,

NOW THEREFORE, the Board resolves to amend section 3.1 of its Seventh Restatement of Bylaws to add section 3.1, subdivisions (b)(9) and (10) to state as follows:

(9) The Corporation shall provide necessary facilitation to its Board members to ensure maximum understanding and participation in carrying out their roles and responsibilities in accordance with Welfare and Institutions Code section 4622, subdivisions (g)(1) and (2). Such facilitation shall be provided by other Board members to the extent that it can be. In circumstances in which Board members require training for appropriate facilitation, the Board shall retain a qualified individual/s to provide that training. In circumstances in which Board members require support beyond the abilities of then current Board members, even with additional training, appropriate facilitation shall be retained by the Board to supply the individual Board member with support necessary to ensure maximum understanding and participation and the proper functioning of the Board of Trustees.

(10) Facilitation may require seating individuals needing support next to Board members who can facilitate their understanding and participation or otherwise accommodating communication between the facilitator and the person served and or meeting in advance of regular Board meetings to review Board materials.

I, the undersigned, _____ of Harbor Regional Center do certify that the forgoing is a true, exact and correct copy of the contract(s) presented to and approved by the Board of Trustees on the _____ day of _____, 2022.

Signed by:

Date:



LEGISLATION TO WATCH

1. SB 870 – Eligibility

[Bill Text - SB-870 Developmental services. \(ca.gov\)](#)

2. AB 1663 – Conservatorships

[Bill Text - AB-1663 Protective proceedings. \(ca.gov\)](#)

3. SB 882 – RC Law Enforcement Advisory Committee

[Bill Text - SB-882 Advisory Council on Improving Interactions between People with Intellectual and Development Disabilities and Law Enforcement. \(ca.gov\)](#)

4. AB 1957 – POS Disparity Reporting

[Bill Text - AB-1957 Disparities within the developmental services system. \(ca.gov\)](#)



HRC
MEDI-CAL APPLICATION
2022



Department of Developmental Services**Ongoing Requirements for Regional Center Enrollment as Medi-Cal Providers Frequently Asked Questions****General Questions****1. Why are regional centers required to be enrolled as Medi-Cal providers? What changed in CMS' requirements?**

Following a 2016 audit, the federal Centers for Medicare and Medicaid Services (CMS) requires the Department of Developmental Services, regional centers, and qualifying vendors to enroll as Medi-Cal providers. Enrollment is essential as Medicaid funding accounts for approximately 40% of total regional center funding.

Furthermore, the 21st Century Cures Act amended Section 1902(a) of the Social Security Act to add subsection 1902(a)(78), requiring state Medicaid programs to enroll all providers who furnish Medicaid services.

2. What is required for regional centers to maintain active enrollment status as Medi-Cal providers?

Regional centers are required to report changes to specific information provided during initial enrollment. Regional centers are required to submit an original (paper) form DHCS 6209 (Medi-Cal supplemental changes) with the Executive Director or an authorized individual's signature. The form must be notarized. Changes are required to be reported within 35 days of the change. Changes to the following information is required to be reported:

- Regional center mailing address
- Regional center telephone number
- Executive Director, Managing Employees, or Board Members
- License, permit, or certificates
- Regional Center name (DBA)

These five items apply to regional centers and are the more likely items for a regional center to report a change in via the DHCS 6209. An exhaustive list of change items to report can be found here: [Title 22 CCR § 51000.40](#). Please note that many items in the exhaustive list in Title 22 regulations apply to other types of Medi-Cal providers and do not apply to regional centers.

3. Does a reporting entity include an Executive Director in an Interim position?

There is a 35-day requirement to report changes in "managing employees", so an interim/acting ED would need to be reported if in an interim/acting capacity for at least 35 days.

4. When are regional centers required to revalidate Medi-Cal provider enrollment?

Regional centers are required to submit an application packet, forms DHCS 6204 (application), 6207 (disclosure statement), and 6208 (provider agreement), to revalidate Medi-Cal provider enrollment. This is the same packet regional centers submitted for initial enrollment.

The application packet is required to be submitted every five years or sooner when any of the following circumstances apply:

- A new Taxpayer Identification (ID) Number is issued by the IRS.
- There is a cumulative change of 50 percent or more in the person(s) with an ownership or control interest (executive directors or board members) since the information provided in the last complete application package that was approved for enrollment.
- The two examples above are the most likely circumstances for a regional center to complete a new application, an exhausted list can be found here: [Title 22 CCR § 51000.30](#).

Upon approval of application packet, enrollment is revalidated for five years.

5. Due to significant concerns about board members having to share SSNs, is there another way to satisfy the CMS requirement without having board members provide their SSNs?

No. Title 42 of the Code of Federal Regulations, section 455.104(b)(1) and California Welfare and Institutions Code section 14043.2(a) require all individuals with an ownership or control interest in a Medicaid provider to disclose their social security number.

6. Is there a way for board members to provide just the last four digits of their SSNs?

No. Both federal and state law require disclosure of the entire social security number

7. If a board member does not have an SSN, does this exclude him or her from participating on a regional center board?

No, individuals without Tax Identification Numbers (employer identification numbers or social security numbers) can still participate on a regional center board by following the procedure described on pages 28-29 of the CMS Medicaid Provider Enrollment Compendium, available at: <https://www.medicaid.gov/sites/default/files/2019-12/mpec-7242018.pdf>

Regional centers should submit this information to DDS. DDS will communicate this information to DHCS when the

application is completed.

8. How will DDS/DHCS ensure security and confidentiality of all forms received from regional centers?

DDS has identified specific employees who will have access to the forms provided by regional centers. Once received, the forms will be saved on a secure drive by DDS. The physical applications will be kept in a locked, fireproof safe at DDS until the changes are approved. Once approved, physical documents will be securely disposed of.

For information provided to DHCS to conduct background checks or re-enrollment, it will be delivered to DHCS by encrypted email. DHCS then scans and saves the forms to their provider enrollment secure drive. DHCS secures this drive to federal security standards, with access only available to authorized employees within the DHCS provider enrollment and legal teams.

DHCS 6209 Medi-Cal Supplemental Changes

1. What sections apply to regional centers on form DHCS 6209 (Medi-Cal Supplemental Changes)?

Each time a regional center reports information changes using the DHCS 6209, pages 8 and 18 are required to be completed. For pages 9-17, questions #1-38, regional centers only complete the questions that pertain to the changes being reported. If the question does not pertain to the change being reported, N/A should be entered in the field.

The enclosed DHCS 6209 template includes highlighting of the specific items that apply to regional centers. Green highlighting indicates information required to be provided regardless of the change in information being reported. Yellow highlighted items are those that are only required to be completed when they are directly related to the information change being reported. Regional centers are not required to complete the items that are not highlighted.

2. Are any additional attachments required when submitting the DHCS 6209?

Yes, when applicable. When an item requests additional information be attached (e.g., item #42, attach legible copy of driver's license or state-issued identification number of the individual signing the form), it is required for submission of the form.

3. On Page 8, do regional centers need to provide an NPI number?

No. Per the Medi-Cal Program Enrollment Approval letter received after initial enrollment, the requirement to register a National Provider Identifier (NPI) with the Medi-Cal program is waived.

4. A) On page 10 under "Change of Ownership or Control Interests", does this pertain to changes in "entities" or "individuals"?

The purpose of this section is to report any changes to Section III (entities), if applicable, and Section IV (individuals) on form DHCS 6207 (Disclosure Statement). An entity would be considered distinct and separate from the regional center that has any ownership/control interest in the regional center. (Please note that DDS is not considered to have any ownership/control interest of regional centers.) Additional information on entities can be found on pages 11-12 of the Medicaid provider enrollment compendium, available at: <https://www.medicaid.gov/sites/default/files/2019-12/mpec-7242018.pdf>. Individuals include executive directors, managing employees, and board members. If there are only changes to either Section III or Section IV, only that specific section is required to be provided.

B) What information is required when there is a change of ownership or control interest in either “entities” or “individuals”?

When there is a change to Section III (entities) of form DHCS 6207 (Disclosure Statement), question 10 of the DHCS 6209 must be completed. Additionally, an updated page 6-8 of DHCS 6207 must be completed. (Please note that pages 7-8 will need to be completed for each new entity.)

When there is a change to Section IV (Individuals) of form DHCS 6207 (Disclosure Statement), question 10 of the DHCS 6209 must be completed. Additionally, an updated page 9-12 of DHCS 6207 must be completed. (Please note that pages 10-12 will need to be completed for each new individual.)

5. When adding a new individual with ownership or control interest, how should percent of ownership or control interest be assigned?

The control percentage for new board members and managing employees should be entered as zero percent.

6. What happens if a new board member or managing employee refuses to comply with the disclosure requirements?

Regional centers are required to stay enrolled as a Medi-Cal provider, therefore, the regional center would need to replace the Board member or managing employee with an individual willing to comply with disclosure requirements.



HARBOR REGIONAL CENTER
MISSION | VISION | GUIDING VALUES



Recommended Guiding Values

Person Centered Philosophy

- We recognize everyone's unique strengths, gifts, talents, skills and contributions.
- We treat everyone with dignity and respect.
- We support the individuals we serve and their circles of support, in having opportunities to make informed decisions and to have self-direction of their own lives.

Diversity, Equity & Inclusion

- We believe everyone should be seen, heard, valued and empowered to succeed.
- We promote a culture of inclusion and belonging that strengthens open, honest and meaningful relationships.
- We listen and engage without judgement and collaborate effectively with people of diverse backgrounds and cultures.
- We embrace differing perspective to make better decisions.

Partnership

- We believe success is best achieved by collaborating and growing with our partners; including the people we serve, their circles of support, our staff, our service providers, community leaders, local and state legislators and others who share our commitment to the vision of the Lanterman Act.

Innovation

- We dare to challenge the status quo and try new things.
- We learn from our past, we stay current, we advance our future.
- We focus on outcomes to ensure the people we serve live their best lives.

Accountability & Transparency

- We are dedicated to listening and receiving feedback from our community.
- We provide timely, accurate and comprehensive information to our community.
- We value fiscal responsibility and the effective use of resources.

**Harbor Regional Center's Board Planning Committee
Agreed Upon Revised Mission, Vision and Guiding Values
As of August 30th Meeting**

Recommended Vision Statement

~~The Harbor Regional Center Vision describes how we hope to see the~~ envisions a world ~~for~~ where people with developmental disabilities ~~and their families.~~

~~We hope for a future in which:~~

~~People with developmental disabilities...~~ live, learn, work, play and have meaningful relationships ~~enjoy their leisure time with families and friends~~ in their ~~typical~~ communities.

~~Families...~~ are respected and empowered with early, consistent, ~~and~~ culturally sensitive, and personalized services and supports.

~~Families are provided with guidance as needed to help them promote their children's development and ensure the security and stability of their family.~~

~~People with developmental disabilities and their family members...~~ are informed ~~and~~ knowledgeable partners, along with their circles of support with Harbor Regional Center and ~~they take a leadership role in the governance of Center and in ensuring the success of the~~ take joint responsibility in advocating for a thriving service delivery system.

...and their circles of support have the information, resources and assistance needed to help reach their highest potential at all stages of life.

Recommended Mission Statement

Harbor Regional Center provides innovative and person-centered ~~quality~~ services, support and information ~~and choices for~~ that empower people with developmental disabilities and their ~~families~~ circles of support to live their best lives ~~to promote their participation as valued neighbors~~ in ~~our~~ their culturally diverse communities.



HOLIDAY GIVING CAMPAIGN





Hearts for the Holidays



Are you, your friends and family, or your organization interested in holiday giving?

Consider donating to the Harbor Help Fund in support of individuals with developmental disabilities in need.

Donate now
harborrc.org/support-harbor-help-fund

Harbor Regional Center is a private, non-profit 501(c)(3) organization.
Donations made to the Harbor Help Fund may be tax deductible.





HOLIDAY GIVING

This is the time of year to begin our Holiday giving campaign to provide assistance to families during the holidays. As always, we give thanks to those of you who are personally able to support this effort financially. *We ask that the Board as a whole consider a gift from the Harbor Help Fund in the amount of \$60,000 with which we will purchase gift certificates for those in need this year. We thank you all in advance for your thoughtfulness and your kind generosity as we try to ensure that our families will have a joyous holiday season.





PRESENTATION *On*
Emergency Preparedness at HRC
By
Vincente Miles, Manager of
Emergency Services



EMERGENCY PREPAREDNESS AT HRC

VINCENTE B. MILES, ED.D

EMERGENCY OPERATIONS MANAGER

HRC BOARD OF TRUSTEES PRESENTATION

20 SEPTEMBER 2022

OVERVIEW

- Statewide Emergency Planning Overview
- Emergency Planning Initiatives
- National Preparedness Month
- Great California Shake Out

STATEWIDE EMERGENCY PREPAREDNESS OVERVIEW

- In FY 2021-22, DDS allocated funding for Emergency Response positions
- Currently, 20 of the 21 regional centers have a Emergency Response Officer
- Statewide Initiatives include Emergency Go-Kits & Backup Battery for Access and Functional Needs (AFN)

EMERGENCY BACK-UP BATTERY

For persons with AFN who require access to a power source for life sustaining or mobility in the event of a prolonged power outage.



EMERGENCY PLANNING INITIATIVES: **HRC CARES**

- Personal Protective Equipment (PPE) Distribution
- Accessible Communication Emphasis
- COVID Testing
- Emergency Operations Plan Update

ANNOUNCEMENT: NEW COVID TESTING DAY AND HOURS AT HRC LONG BEACH

HRC in partnership with Innovative Diagnostic Testing will offer COVID testing on **Wednesdays**

- HRC Torrance Office, Conference Room A-1 from 9:30 am – 11:30 am
- HRC Long Beach Office, Conference Room LB-7 from 1:00 pm – 3:00 pm
- Walk-ins are welcomed

SEPTEMBER IS NATIONAL EMERGENCY PREPAREDNESS MONTH



Emergency Preparedness can:

- Mitigate the hazard risk of a natural disaster
- Prevent property loss in the event of a natural disaster
- Plan your response to an emergency event
- Prepare essential resources for your family during a disaster



Emergency Preparedness



The Great California Shake Out

SAVE THE DATE & TIME
10/20/2022 AT 10:20 AM

FOR MORE INFORMATION:
WWW.SHAKEOUT.ORG/CALIFORNIA/

THANK YOU

CONTACT INFORMATION:

VINCENTE B. MILES, ED.D

EMERGENCY OPERATIONS MANAGER

VINCENTE.MILES@HARBORRC.ORG

(310) 792-4786



COMMITTEE REPORTS

• ARCA	Joe Czarske
• Audit	Joe Czarske
• Board Development	Joe Czarske
• Board Planning* <i>action required</i>	Kim Vuong
• Client Advisory	David Gauthier
• Client Services	Patricia Jordan
• Community Relations	Ann Lee
• Retirement	James Flores
• Self-Determination	Antoinette Perez
• Service Provider Advisory	Angie Rodriguez





Harbor Regional Center
Board Development Committee Meeting
August 10, 2022

In Attendance: Chris Patay, Ann Lee, Patrick Ruppe (Executive Director) and Jennifer Lauro (Executive Assistant)

Absent: David Gauthier, Jim Flores, Joe Czarske

Meeting Minutes:

1. Welcome new committee members David and Jim!
2. Board Composition – review and discussion of *new DDS requirements*
3. Board Recruitment *ongoing*
 - At the end of fiscal year 2022-23, we will have an additional two members terming out: Kim Vuong and Jeffrey Herrera
4. Board Training *update*
5. Questions/Discussion

Next Meeting: Scheduled for September 14, 2022.

Harbor Regional Center (HRC) Board Planning Committee

Virtual Meetings held on:

July 27, 2022

August 10, 2022

August 24, 2022

August 30, 2022

Committee Members Present: Kim Vuong, Chair, Client and Board Member; Laurie Zaleski, Parent and Board Member; Patricia Jordan, Client and Board Member; Jackie Solorio, Board Member, and Thao Mailloux, HRC Director of Information and Development.

Others Present: Chris Patay, Board Member; Ann Lee, Board Member; Terri Nishimura, Community Member; Ron Bergmann, Community Member and Board Advisor; Fu-Tien Chiou, Board Advisor; David Gauthier, Board Member, Dr. James Flores, Board Member; Gordon Cardona, Board Member; LeeAnn Christian, Consultant; and Patrick Ruppe, HRC Executive Director.

Mission, Vision, and Values

In continuing the work of reviewing HRC's Mission, Vision, and Values statements, HRC contracted with LeeAnn Christian, PhD, BCBA-D to work with HRC's Board Planning Committee and trustees.

Through virtual meetings, the Board of Trustees participated in a review of the trustees' role and responsibilities, in addition to the mission and vision statements of California's Department of Health and Human Services, California's Department of Developmental Services, and surrounding Los Angeles County Regional Centers. To further inform the discussions, members reviewed results of a survey sent to all trustees that collected their thoughts, ideas, and suggestions regarding the current mission, vision, and values statements.

Each meeting involved thoughtful review, discussion, and participation from trustees regarding each component as it relates to every potential audience and function. Participants considered how each word or phrase would impact individuals served, families, circles of support, service providers, HRC employees, and the greater community.

At the August 24 meeting, members were in agreement with the revised mission and vision statements. On August 30th, the group completed the revision to HRC's values and values statements and were in agreement to move the revised statements to HRC's Executive/Finance Committee for further review and input prior to submitting to the full Board for review.

Harbor Regional Center
Client Advisory Committee
August 13, 2022 via ZOOM

Minutes

Members Present: Debbie Howard, Kelly Sutton, Mead Duley, Deaka McClain, Danielle Schorr and David Gauthier.

HRC Staff Present: Kris Zerhusen

Other: Janelle Reyes, Lifesteps Foundation.

Call to Order & Minutes Approved

Meeting was called to order and commenced at 1:05 p.m.

Minutes for 5/14/2022 were reviewed and approved with a motion by Kelly Sutton, seconded by Mead Duley.

Welcome

Danielle Schorr joined the meeting after being absent due to the pandemic. Members expressed their appreciation and gladness that she was present in today's meeting.

CAC Member Updates

Deaka: She attended **DDS Client Advisory Committee** meeting virtually, on May 19, 2022. Deaka is the Vice Chair for this committee. Topics of discussion in the meetings included, Regional Center measures (eligibility and vision statement) and Fair Hearing Process update. Concern was expressed about IHSS specifically why no protection or safety protocols are in place when an individual needs care. Other items discussed were: SDP, Person Centered communication skills, equity and cultural competency.

Deaka provided the same overview of the **HRC Client Services Committee** meetings held virtually on 3/22/2022 and 4/26/2022. The importance of the

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assessment of needs on an individual basis. Deaka mentioned the Public Meeting that took place on 5/18/2022 during which information was shared about HRC infrastructure and Service Coordinator to client caseload ratios. Members were surprised to learn how high the caseloads are for HRC SCs.

Information was shared about what was covered in the HRC Board of Trustees meeting on 7/19/22: Development of EBSH in our service area, START (crisis intervention program) in development and the development of Residential Transportation for clients who participate in Day Programs.

HRC Updates

Kris Zerhusen presented information on the **DDS Budget** for 2022-2023. An overview of **services**, changes and additions (social rec funding, remote services continuing, expansion of paid internship program and Competitive Integrated Employment program). Changes for **Service Providers** (rate increases and pay differential for DSPs who are bilingual). For **Service Coordinators**-funds to provide enhanced case management services and to hire additional SCs in all RCs.

Members talked at length about various social rec opportunities they have been involved in and would be interested in participating in now that funding is available. They were directed to speak with their SC about their interests.

Kris reviewed information about **HRC updates** and news: SDP continues and is open to all interested, SC Expansion positions to reduce current caseloads and the ongoing development and vendorization of agencies/programs providing social rec activities. Also mentioned the development of START and talked about what services would be provided,

The next scheduled CAC meeting is on 11/12/2022 at 1:00 PM. It will be held via zoom unless it is considered prudent and safe to resume meeting in person.

It was discussed that if CAC meetings were to resume to meet in person that there should also be an option to participate virtually for those not comfortable meeting in person.

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Requested presentation for next CAC meeting: Vincente Miles, Emergency Preparedness.

Adjournment

Meeting adjourned at 2:30 PM.

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Client Services Committee |

Meeting date | time August 23, 2022 | 6 PM | Meeting location ZOOM

ATTENDEES

Oanh Kim Vuong
(Individual)

Deaka McClain (Individual)

April Rehrig (Community
Partner)

Guadalupe Nolasco (Parent)

Gordon Cardona (Individual)

Lucy Paz (Interpreter)

Fu-Tien Chiou (Parent)

Cristina Mercado (HRC Staff)

Monica Diaz (HRC Staff)

Judy Taimi (HRC Staff)

AGENDA TOPICS

Time allotted | 6 PM to 7 PM | Agenda topic *Cultural and Linguistic Competency Training*

- Ms. Cristina Mercado, HRC MRQA, provided this presentation to the committee. The main objectives for this training consists of:
 - Defining and understanding Culture
 - Implicit Bias
 - Cultural Competence
 - Linguistic Competence
- It is possible to overcome our implicit biases but we must first acknowledge them. Regardless of our personal culture, we must strive for culturally and linguistically appropriate service delivery. As we move toward cultural competence, it will create opportunities for change which allows us to continue to reflect and create even more opportunities for change and growth. Culturally and linguistically competent work can lead to fewer disparities.
- Is HRC culturally competent?
 - 3 members agreed that HRC is culturally competent and 1 member shared HRC still has more work to do.
- The presentation concluded with the following question to the committee. What are your suggestions for how Harbor can be more culturally and linguistically friendly? Some of the feedback consisted of,
 - Hire diverse competent staff
 - Improve on language translation (verbally and written) when delivering information regarding eligible diagnosis for regional center services

Time allotted | 7 PM to 7:10 PM | **Agenda topic** *General Discussion* | **Presenter** *Judy Taimi*

- We had a new board member joined our committee, Mr. Gordon Cardona.

Next Meeting: October 25, 2022 at 6 PM via ZOOM

Harbor Regional Center (HRC) Community Relations Committee August 10, 2022

Committee Members Present: Ann Lee, Chair, Board Member; Laurie Zaleski, Parent and Board Member; Dee Prescott; Service Provider, Easter Seals Southern California; April Rehrig, Community Member; Thao Mailloux, HRC Director of Information and Development, and Patrick Ruppe, HRC Executive Director.

Welcome and Opening Round

Committee members participated in Introductions and an Opening Round. The Community Relations Committee is a standing committee of Harbor Regional Centers' Board of Trustees.

Back to School Outreach and Impact

Thao Mailloux presented on HRC's efforts to share information about the events and resources that community organizations provided for Back to School. Flyers were frequently shared using HRC's Facebook, Instagram, Twitter, and weekly electronic newsletters in English and Spanish.

HRC hosted a Back to School shopping event for Service Coordinators (SCs) on Monday, August 8, 2022 to benefit HRC clients and their siblings. A local sponsor, HCVT LLC (Long Beach) donated over 200 backpacks and a variety school supplies for HRC clients and families. In addition, service providers, Aveanna and 24HomeCare, donated 100 backpacks each to support HRC's effort. Following COVID safety protocols, HRC SCs picked out backpacks, notebooks, school supplies, and PPE Care Kits (N95 facemasks and hand sanitizer) for clients and siblings.

The South Bay Rotary Club, a long-time supporter of HRC clients, sponsored a Back to School shopping event for 15 HRC clients, who had needs beyond that of school supplies. SCs referred individuals who could benefit from this experience, and on Sunday, August 14, 2022, the participants partnered with a Rotary volunteer, were able to shop for clothes, shoes, and other needs at Kohl's located in Torrance, an hour prior to the store's operating hours.

The Bryant Family also supported seven individuals, who had personal needs beyond that of school supplies. Each individual received clothes and school supplies and one recipient received a laptop that would help with the families' ability to access technology.

In all, HRC's Back to School impact supported close to 450 HRC clients and siblings.

Upcoming Meetings and Areas of Focus

The members of the committee reviewed the purpose and responsibilities of the committee. Committee members will promote, develop, and maintain relationships with clients, families, service providers, and community organizations as well as, educate clients, families, service providers, and community organizations about HRC and HRC services. The members present reviewed meeting dates for the remainder of the fiscal year, and all voted that the committee would be dark in the month of December 2022.

Potential areas of focus and topics of interest that were identified and discussed:

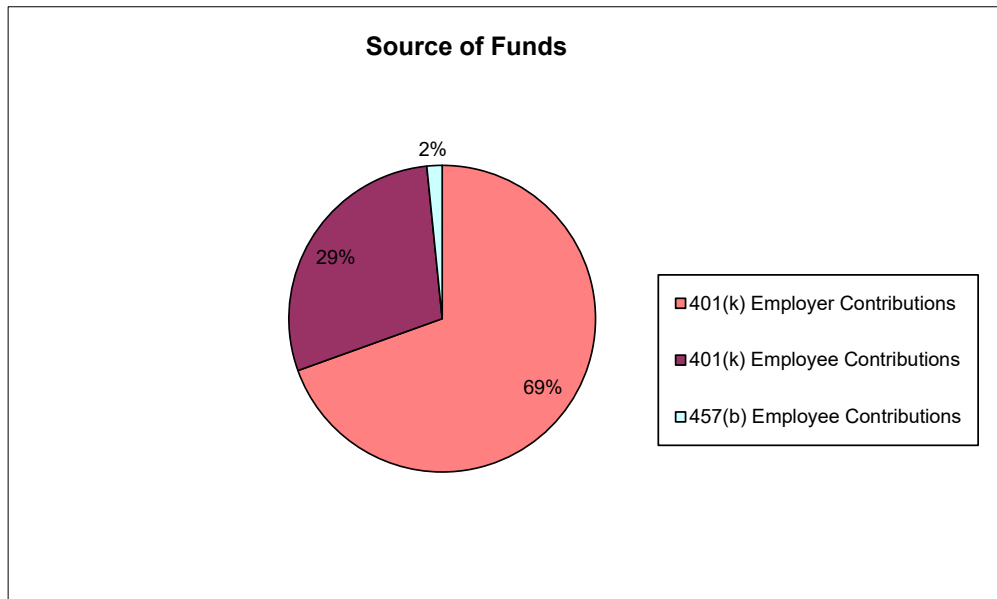
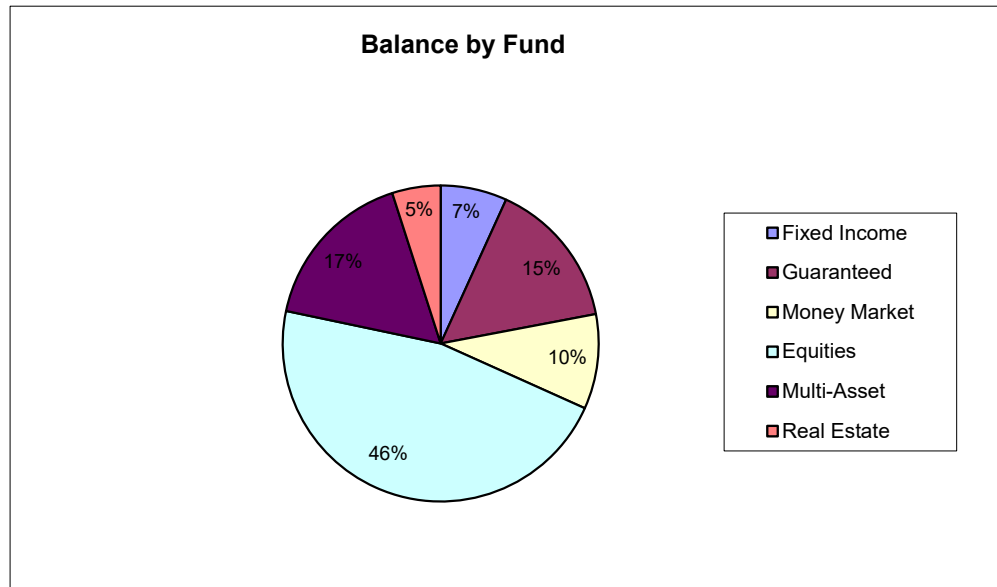
- Social activities and fitness opportunities
- Developing partnerships with school systems to strengthen referrals/connections with HRC once a child has been identified as possibly eligible for HRC services
- Parent Peer Groups and supports
- Increase awareness on expanded/updated eligibility criteria
- Development of Employment/Work opportunities
- Technology partnerships and awareness

The next Community Relations Meeting is scheduled to occur virtually on October 12, 2022 at 5pm.

**Harbor Regional Center
Retirement Plan Balances as of 6-30-2022**

	401(k) Employer Contributions	401(k) Employee Contributions	457(b) Employee Contributions	Total Balance
Fixed Income	\$2,689,002	\$1,064,190	\$24,871	\$3,778,063
Guaranteed	\$4,924,298	\$2,873,033	\$665,891	\$8,463,222
Money Market	\$4,367,800	\$1,019,747	\$9,541	\$5,397,088
Equities	\$18,088,760	\$7,763,367	\$49,092	\$25,901,219
Multi-Asset	\$6,812,814	\$2,360,937	\$134,249	\$9,308,000
Real Estate	<u>\$1,765,201</u>	<u>\$982,732</u>	<u>\$9,160</u>	<u>\$2,757,094</u>
Total	\$38,647,875	\$16,064,007	\$892,803	\$55,604,686

* Plan Balances include active and terminated employees still in the Retirement Plan.
 ** Employee Contributions include **\$1,734,520** in Rollover funds.

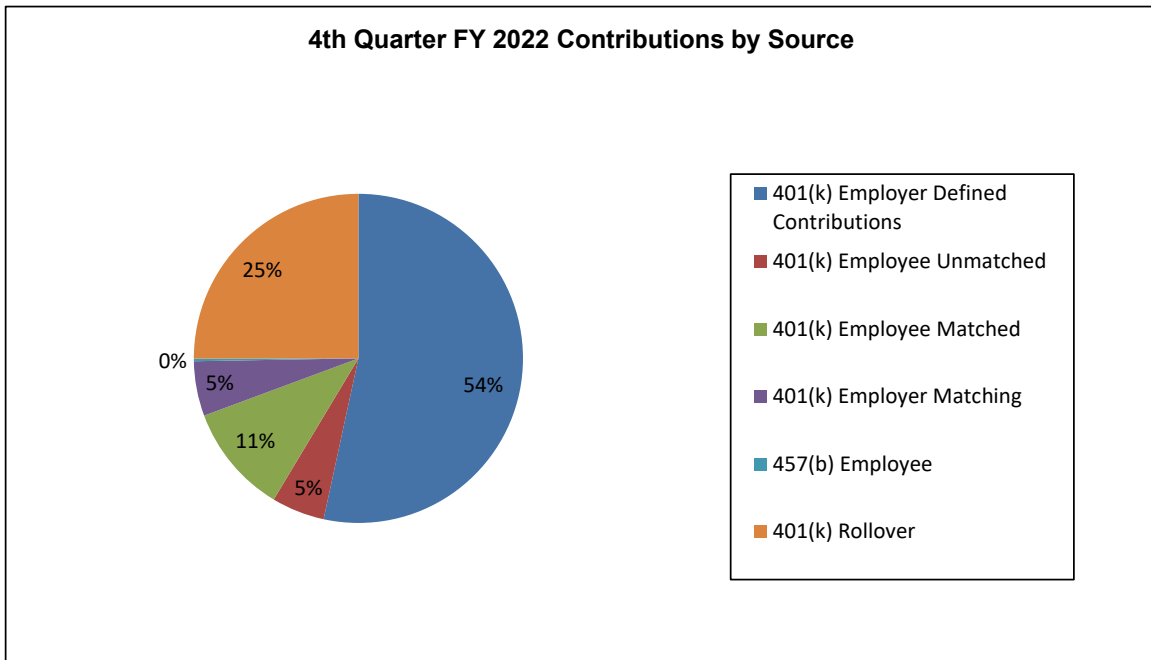


**Harbor Regional Center
Retirement Plan Balances as of 6-30-2022**

	<u>401(k)</u>	<u>457(b)</u>	
Fund Balance 3/31/22	\$59,552,625	\$914,271	
Activity 4/1/22 - 6/30/22			
Distributions	(\$446,745)	\$0	
Contributions	<u>\$1,774,595</u>	<u>\$4,500</u>	
Net	\$60,880,475	\$918,771	
Fund Balance 6/30/22	\$54,711,882	\$892,803	
Gain/(Loss)	(\$6,168,592)	(\$25,967)	
% Gain/(Loss) for the Period	-10.36%	-2.84%	
 <u>Participants</u>			
Active Employees in Retirement Plan	360	3	60%
Terminated Employees in Retirement Plan	241	5	40%
Active Employees Total Balance	\$34,937,019	\$228,882	63%
Terminated Employees Total Balance	\$19,774,863	\$663,922	37%
 <u>Loan Information</u>			
	<u>6/30/22</u>		
Employees with Loans			
Active Employees with Loans	26		
Terminated Employees with Loans	<u>6</u>		
Total	32		
Average Balance Amount	\$4,364		
Loan Value Total	\$139,642		

**Harbor Regional Center
Retirement Plan Balances as of 6-30-2022**

	<u>401(k)</u>	<u>457(b)</u>
<u>Contributions</u>		
Employer		
Defined (10%)	\$949,994	\$0
Matching (50% of Employee Matched)	\$95,601	\$0
Employee		
Matched (up to 6%)	\$191,201	\$0
Rollover	\$445,224	\$0
Unmatched	<u>\$92,575</u>	<u>\$4,500</u>
Total	\$1,774,595	\$4,500
Employees Contributing	248	
Average deferral percentage	6.70%	



HARBOR REGIONAL CENTER
Self Determination Advisory Committee
Meeting Minutes
July 6, 2022

Opening:

The meeting of HRC Self Determination Advisory Committee was called to order at 6:03PM on Wednesday, July 6, 2022 in person at HRC Long Beach Office. Quorum was established at start of meeting.

Committee Member Present

Deaka McClain- Client
Johnanthony Alaimo, Office of Client Rights Advocacy Representative
Linda Chan-Rapp, Parent
Miriam Kang, Parent
Sunghee Park, Parent
Patricia Jordan, Client
David Oster- Client
Juliana Martinez-Parent

HRC Staff Present

Katy Granados- Client Services Manager
Jessica Sanchez- Participant Choice Specialist
Johnny Granados- Participant Choice Specialist
Bryan Sanchez- Participant Choice Specialist
Antoinette Perez – Director of Children’s Services
Judy Taimi - Director of Adult Services

SCDD Staff Present

Albert Feliciano

Abbreviations

HRC: Harbor Regional Center
IF: Independent Facilitator
PCP: Person-Centered Plan
SCDD: State Council on Developmental Disabilities
SDP: Self-Determination Program
DVU: Disability Voices United
FMS: Financial Management Service
DDS: Department of Developmental Services
RFP: Request for Proposal

Visitors

Fernando Núñez (Interpreter) Jamie Van Dusen (DDS) Barry Finley Athena Steeples Donna Morvice

Welcome

Introductions of committee members and guests

Approval of Minutes:

HARBOR REGIONAL CENTER
Self Determination Advisory Committee
Meeting Minutes
July 6, 2022

Quorum was established at start of meeting. June 1, 2022 minutes posted and available for viewing on HRC website. David motioned to approve minutes. Deaka asked for a second, Patricia second. No nays on the June 2022 minutes approval completed.

Harbor Regional Center Monthly Updates:

Johnny Granados presented the SDP data in a graph format via an "HRC SDP" Power Point presentation shared on the screen.

- Soft Roll Out Participants
 - Total Participants Selected: **129**
 - Remained in SDP: **87**
 - Withdrew: **35**
 - Moved out of State: **3**
 - Inactivated/Not DD: **3**
 - Transferred Out (to another RC): **1**
- Completed PCPs Q1: 31 and Q2: 42 = 73 in total from Quarter 1 (01/22-03/22) and Quarter 2 (04/22-06/22)
- Certified Budgets Q1: 64 and Q2: 91 = 155 in total from Quarter 1 (01/22-03/22) and Quarter 2 (04/22-06/22)
- Spending Plans Q1: 34 and Q2: 51= 85 in total from Quarter 1 (01/22-03/22) and Quarter 2 (04/22-06/22)
- SDP Live Q1: 31 and Q2: 47 = 78 in total from Quarter 1 (01/22-03/22) and Quarter 2 (04/22-06/22)
- SDP by Ethnicity: 20 Latino participants, 22 White/ Caucasian participants, 8 African American participants, 1 Filipino participants, 1 Korean participant, 5 other/ Bi-Racial participants, 4 Multi-Cultural , 4 Asian Indian, 4 Other, 1 Guamanian, 3 Other Asian ,and 2 Chinese participants.
- SDP by Language: 60 English speaking participants, 1 Korean speaking participant, and 15 Spanish speaking participants.
 - Total Participants fully orientated 442 (75 are in the follow up stages, 133 have chosen to withdraw and 187 in the unknown stage)
- Questions/Comments:
 - Albert asked if there were any indicators on why numbers are low for Korean and Filipino families. Katy discussed that HRC is continuing to work on expanding the outreach to our underserved communities. As they may need additional guidance or may have a more difficult time understanding the information.
 - Deaka asked if the African American community would be part of the community outreach. Antoinette discussed that the Community Outreach specialists function is to reach out to the underserved community. The Participant Choice Specialists responsibility is to reach out to the community and make sure all individuals to have the same access to SDP as in Traditional Services
 - Juliana asked why some families have decided not to move forward. Katy stated that there are various reasons such as a lot of responsibility. Some barriers are the amount of FMS available and that the Pandemic presented its own challenges. Miriam commented

HARBOR REGIONAL CENTER
Self Determination Advisory Committee
Meeting Minutes
July 6, 2022

that misinformation might be a barrier. She continues to have an IF because SDP is a lot of work.

- David asked if there was a wait list to be a part of SDP. There is not but may be a waitlist for certain FMS.
- The committee asked if there is anything they can do for the IF and FMS shortage. HRC discussed that the state is currently working to address the issue. There was also a question if the RFP can address this shortage and Linda answered that in the proposal from Phoenix met all of the marks.
- Juliana expressed that families have many concerns that the FMS's are not being effective. HRC discussed that Regional Centers have been tracking the FMS crisis. There are not enough FMS providers and they require a lot of man power. DDS has gotten feedback and are working on a plan to make them more efficient.
- The presentation continued to report there are no updates with fair hiring at this time.
- SDP Specialized team:
 - Katy discussed that in the children's department at HRC there is now a hybrid team. The Service Coordinators will carry 40 SDP clients and also have traditional clients. This specialized team is being piloted to create consistency and knowledge. All HRC Service Coordinators will still be knowledgeable in SDP.
- RFPF update
 - Contract has not been signed by Phoenix Facilitation.

Partner Updates:

Office of Clients and Rights Advocacy – Johnanthony Alaimo

Johnanthony shared that there was no updates at this time and explained what his role is.

SCDD- Albert Feliciano

- LA office continues to host statewide trainings and they are open to anyone.

Statewide Updates:

None at this time.

Public Comments:

- Linda asked about the FMS fees. HRC discussed that they typically came out of the client's budget but will now be paid for by the Regional center.
- Deaka stated that families can not afford to have an IF. Miriam explained that is important to budget correctly.
- Juliana discussed a positive message about SDP and how it her daughter has been successful and is very happy.
- David shared that his first year of being in SDP was difficult but his second year has been better.

Next meeting: September 7, 2022 via Zoom 6PM-8PM

HARBOR REGIONAL CENTER
Self Determination Advisory Committee
Meeting Minutes
July 6, 2022

Adjournment, Conclusion

Meeting was adjourned at 7:30 PM.

Minutes submitted by Jessica Sanchez

Harbor Regional Center
Service Provider Advisory Committee (SPAC)
 August 2nd, 2022 10:00 a.m.
 Virtual via Zoom Meeting

Committee Participants

Member Name	Organization
Angie Roddriguez	SVS
Paul Quiroz	Cambrian
Dee Prescott	Easter Seals
Robert Turner	Life Steps Foundation
Lindsey Stone	ICAN
Maria Diaz	
Jesse Hansen	Dungarvin
Melvin Randolph	Westview Services
Anthony MacConnell	Dungarvin
Diane Sanka	Easter Seals
Ben Espitia	
Christine Grant	Dungarvin
Catherine	
Amy Miller	Injoy Life Resources
April S	Long Beach
Patty S	Oxford
Alicia Chavira	
Brian Lockhart	Sevita
Tiffany De La Torre	24hr Homecare
Sharon	
Hai Nguyen	Hi-Hopes Inc
Anabel	Pioneer Homes of CA
Shea	
Elizabeth Ho	

HRC Staff Participating

Staff Name	Title
Heather Diaz	Director of Community Services
Judy Wada	Chief Financial Officer
Patrick Ruppe	Executive Director
Mercedes Lowery	Community Services Manager
Steve Goclowski	Clinical Services Manager
Elizabeth Garcia-Moya	Community Services Manager
Judy Taimi	Director of Adult Services
Thao Mailloux	Director of Information
Ute Czemmell	Controller

Tes Castillo	Assistant Controller
Vincente Miles	Manager Emergency Preparedness Coordination
Mary Hernandez	Director of Case Management Support Services
Brenda Bane	Provider Relations Specialist
Angela Woods	Provider Relations Specialist
Carla Redmon	Provider Relations Specialist

Call to Order

Angie Rodriguez, Chair called the meeting at 10:05 a.m.

Sub-Committee Expectations

Angie took the opportunity to re-introduce the new SPAC Chair Committee Members. Executive Director, Patrick Ruppe welcomed the new SPAC Chair Committee Members and discussed their roles and expectations within their sub-committees. The Sub-Committee Chairs will work in collaboration with a representative from HRC to coordinate meetings within their groups for disseminating information that affects their particular service, and to address/discuss service specific concerns and/or changes that affect all service providers. The groups will determine the frequency of their individual sub-committees meetings. This new structure allows service providers to have the opportunity to bring back to SPAC their questions, concerns, and ideas. It will foster better collaboration and communication between service providers and HRC to help address the challenges that the service provider community is facing and those that may have an impact on the services for the individuals we support.

Budget Update & Statistics, Rate Study Implementation, & Alternative Services

Chief Financial Officer, Judy Wada provided an update on FY 2021-2022 Purchase of Service Expenditure Projection which included a breakdown by service category with out-of-home services (40%) and day programs (29%) being the two highest service categories. Statistical information on HRC’s caseload growth over the last ten fiscal years showed the steady growth and within the last FY 2021-2022, HRC had an increase of 6.6% with 20,190 individuals served. Enacted budget FY 2022-2023 was reviewed which showed the caseload growth amongst all 21 regional center between last year’s fiscal year (371,388) and current fiscal year (400,485).

The Rate Study Implementation schedule was accelerated a full year with now reaching full implementation by July 1, 2024. The first phase of the rate implementation process, which included the 25% of difference between the rate model / benchmark rate effective 04/01/2022 is completed. The next 50% of the difference between the rate model / benchmark rate will be implemented January 1st, 2023. Reminder to service providers of the Rate Adjustment Process to report an error in their rate calculation.

For service providers that serve individuals from multiple regional centers, rates may vary by regional center as certain service code rates will be determined by the vendoring regional center rate model; others will be determined by the purchasing regional center. The DDS Directive with the details was provided to the group.

Alternative Services will be concluding as of 12/31/2022. Alternative vs. Traditional services were reviewed and service providers were encouraged to start planning and evaluating how they will transition to traditional services including their rates. Day program providers would like to work with transportation providers as more day programs are returning to in-person services, transportation has been a challenge.

COVID-19 Updates

HRC continues to offer PCR testing on Mondays at the Long beach office and Wednesdays at the Torrance office. Testing is open to the public including the provider community. Service providers were provided with the registration information. Service providers can contact their Provider Relations Specialist or send email to HRCPPERequest@harborrc.org to request PPE.

Emergency Readiness & Preparedness

Vincente Miles, Manager of Emergency Preparedness Coordination provided an overview of his role. As part of the activities and training that Vincente is preparing, he invited the service provider community to save the date of 10/20/2022 to participate in the Great American Shake Out event. Further details to come.

HCBS Update

Elizabeth Garcia-Moya, Manager of Resource Development provided a quick review on the HCBS: Validation and Remediation process that day services, residential and supported employment providers have to complete. HRC has 167 service providers that are undergoing the validation process and 146 that are in the remediation process. Additional funding to support compliance with the HCBS Final Rule is able to regional center. With the assistance of the SPAC, regional centers are to develop and submit a plan to DDS that includes how the funding will be utilize to help with the development of communities of practice/best practices, training for individuals and families for services that align with HCBS, and creating and implementing innovative provider practices. Ideas were shared and a follow-up meeting will be scheduled for further discussion and gathering of ideas.

Meeting Adjourn 12:00 p.m.



PUBLIC COMMENT

- ❖ We have arrived at the time on the agenda for public comment.
- ❖ **Participants should now turn the “interpreter” icon at the bottom of your screen back to English.**
- ❖ We will call upon each person who has asked to address the Board through the **CHAT feature.**
- ❖ We request that you please limit your comments to 5 minutes.

THANK YOU!

INFORMACIÓN DE CONTACTO

❖ Para contactar a la Oficina Ejecutiva:

Patrick Ruppe, Director ejecutivo	(310) 543-0632
Jennifer Lauro, Asistente ejecutivo	(310) 543-0632
Jesús Jimenez, Asistente bilingüe de la Oficina Ejecutiva	(310) 543-0606

❖ Para ponerse en contacto con nuestra Junta, envíe un correo electrónico a: publicinput@harborrc.org

❖ Para localizar a su Coordinador de servicios visite: <https://www.harborrc.org/post/contact-our-staff>



❖ Para presentar una queja sobre el HRC, or uno de nuestros empleados, proveedores de servicios, o para solicitar una audiencia imparcial, vaya a: <https://www.harborrc.org/complaints>

CONTACT INFO

❖ **To contact the Executive Office:**

Patrick Ruppe, Executive Director	(310) 543-0632
Jennifer Lauro, Executive Assistant	(310) 543-0632
Jesus Jimenez, Bilingual Executive Office Assistant	(310) 543-0606

❖ **To contact our Board**, submit an email to:

publicinput@harborrc.org

❖ **To locate your Service Coordinator:**

<https://www.harborrc.org/post/contact-our-staff>

❖ **To file a complaint** about HRC, or one of our employees or service providers and or to request a Fair Hearing, go to:

<https://www.harborrc.org/complaints>





ADJOURNMENT



Next Business Meeting of the Board

November 15, 2022